



# Functional significance of lamellar architecture in marine sponge fibers: Conditions for when splitting a cylindrical tube into an assembly of tubes will decrease its bending stiffness

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## ABSTRACT

Numerous ingenious engineering designs and devices have been the product of bio-inspiration. Bone, nacre, and other such stiff structural biological materials (SSBMs) are composites that contain mineral and organic materials interlaid together in layers. In nacre, this lamellar architecture is known to contribute to its fracture toughness, and has been investigated with the goal of discovering new engineering material design principles that can aid the development of synthetic composites that are both strong and tough. The skeletal anchor fibers (spicules) of *Euplectella aspergillum* (*Ea.*) also display a lamellar architecture; however, it was recently shown using fracture mechanics experiments and computations that the lamellar structure in them does not significantly contribute to their fracture toughness. An alternate hypothesis—the load carrying capacity (LCC) hypothesis—regarding the lamellar architecture's functional significance in *Ea.* spicules is that it enhances the spicule's strength, rather than its toughness. From an ecology perspective the LCC hypothesis is certainly plausible, since a higher strength would allow the spicules to more firmly anchor *Ea.* to the sea floor, which would be beneficial to it since it is a filter feeding animal. In this paper we present support for the LCC hypothesis from a solid and structural mechanics perspective, which, compared to the support from ecology, is far harder to identify but equally, if not more, compelling and valid. We found that when the spicule functions in a knotted configuration a reduced bending stiffness benefits its load carrying capacity and that sectioning a cylindrical tube into an assembly of co-axial tubes can reduce the tube's bending stiffness for a wide class of materials that are consistent with the spicules' axial symmetry. The mechanics theory developed in this paper has applications beyond providing support for the LCC hypothesis. For example, it makes apparent many design strategies for reducing the bending stiffness of large industrial cables (e.g., undersea optical data transmission cables) while maintaining their tensile strength, which has benefits towards the handling, storage, and transportation of such cables.

## 1. Introduction

Materials found in nature have been studied with the goal of deriving inspiration for the development of novel engineering structural materials. Spider silk, bone, antlers, tortoise carapaces, and fish scales are but a few among the list of structures and materials of biological origin that have been the subject of such bio-inspired material studies (Chen and Pugno, 2013; Chen et al., 2012). A recent addition to this list includes the skeleton and skeletal structures of the marine sponge *Euplectella aspergillum* (*Ea.*).

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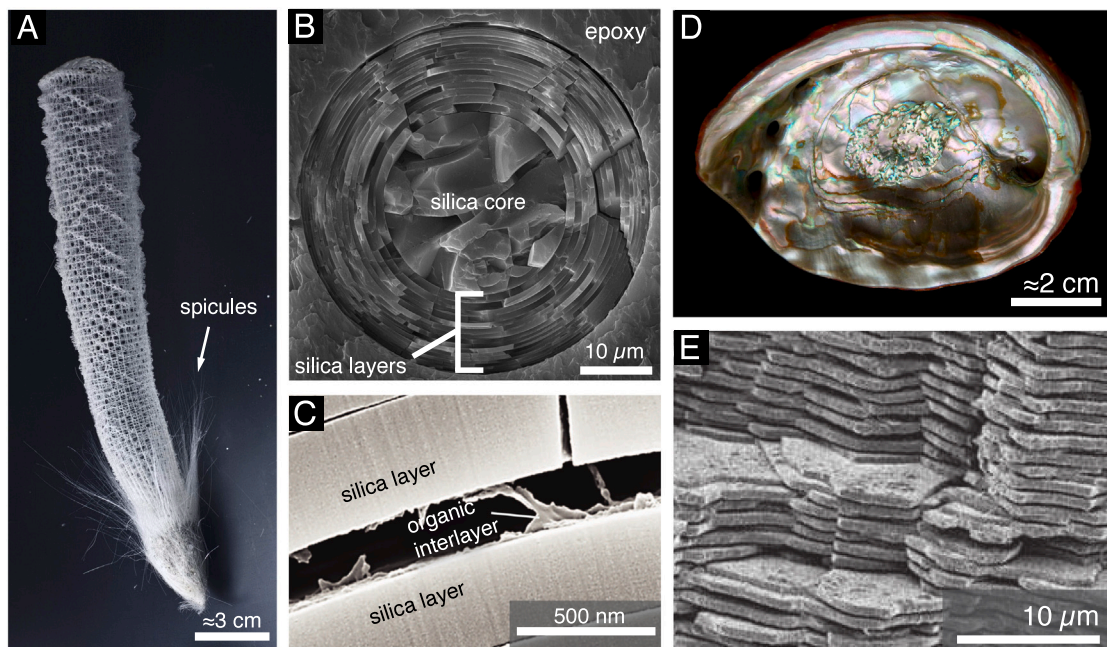
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**Fig. 1.** Stiff biological materials with lamellar architectures. (A) The entire skeletal structure of an *Ea.* sponge (modified from Monn et al. (2015); © 2015 National Academy of Sciences). The basalia spicules, which are identified with a white arrow, are around 50 micrometers in diameter and can be several centimeters long. (B) A scanning electron microscope (SEM) image showing the cross section of an *Ea.* basalia spicule reveals its cylindrically layered internal architecture (modified from Monn et al. (2015); © 2015 National Academy of Sciences). (C) An SEM image showing the organic layer that separates the adjacent silica layers (modified with permission from Weaver et al. (2007); © 2007 Elsevier). (D) The shell of *Haliotis rufescens*—the red abalone (image courtesy of John Varner). (E) An SEM image of nacre from *H. rufescens* showing its brick-and-mortar layered architecture, where aragonite tablets correspond to the bricks and protein layers correspond to the mortar (modified with permission from Rabiei et al. (2012); © 2012 Royal Society of Chemistry).

Notably, its fiber-like structures that are composed primarily of silica, called the basalia spicules, have attracted attention owing to its internal lamellar architecture (see Fig. 1(A), (B)). Basalia spicules act as anchors to hold the sponge onto the sea floor, and are roughly 50 micrometers in diameter and several centimeters in length (Monn et al., 2015).

Each fiber is an assembly of approximately 25 co-axial tubular silica layers around a central, cylindrical core, where each adjacent pair of silica layers is separated by a thin, compliant organic interlayer (see Fig. 1(C)) (Monn et al., 2015; Weaver et al., 2007). Lamellar architecture consisting of alternating stiff and compliant layers is also found in nacre. In nacre the lamellar architecture is known to enhance its fracture toughness (Currey, 1977). Therefore, it has been often assumed that the role of the *Ea.* basalia spicules' internal lamellar architecture is to provide the basalia spicules with enhanced fracture toughness.

However, recent direct fracture toughness measurements of the *Ea.* basalia spicules showed that the basalia spicules' lamellar architecture does not provide them with any significant fracture toughness enhancement (Monn et al., 2020). This finding now reopens the question of what, if any, is the benefit of the basalia spicules' lamellar architecture to the sponge.

We refer to the maximum tensile force that a (*Ea.* basalia) spicule is capable of transmitting along its length from the sea floor to the skeleton as its load carrying capacity (LCC). The more tensile force that the spicules are capable of transmitting from the sea floor to the animal's skeleton the better they presumably serve their goal of anchoring the animal firmly to the ocean floor. This motivated us to put forward the hypothesis in a previous study (Monn et al., 2015) that the lamellar architecture's primary role is to contribute to the spicule's LCC. From here on we will refer to this hypothesis as the LCC hypothesis. The primary evidence in support of the LCC hypothesis put forward in our previous paper (Monn et al., 2015) was the positive correlation between the experimentally measured silica layer thicknesses and those in a spicule model in which the thicknesses were chosen to maximize the model's LCC. In this paper, we present further arguments in support of the LCC hypothesis and develop a mechanics theory that can be used to more thoroughly check the validity of the LCC hypothesis once the spicule's elasticity becomes better characterized.

If a basalia spicule were to remain perfectly straight and have its terminal ends loaded uniformly across its cross-sections, then the only factor relevant to its LCC would be the strengths and thicknesses of its individual layers. It has been observed that the strength of ceramics increases with decreasing specimen thicknesses (Griffith, 1921; McKinney and Rice, 1981). Therefore, for the case of spicules that are subject to simple tensile loading we have immediate support for the LCC hypothesis. However, by studying the arrangement of the spicules within the mud bulb that is often found at the base of the *Ea.* skeleton (see Fig. 2(A)), it is reasonable to conclude that more of the spicules function in a looped configuration within the sediment rather than in an unlooped configuration. Therefore, the spicule's LCC in the looped configuration is as relevant as, if not more than, its capacity in an unlooped configuration. The aim of this paper is to construct and present arguments that provide support for the LCC hypothesis for the case in which the spicules function in a looped configuration (see Fig. 2(B)).

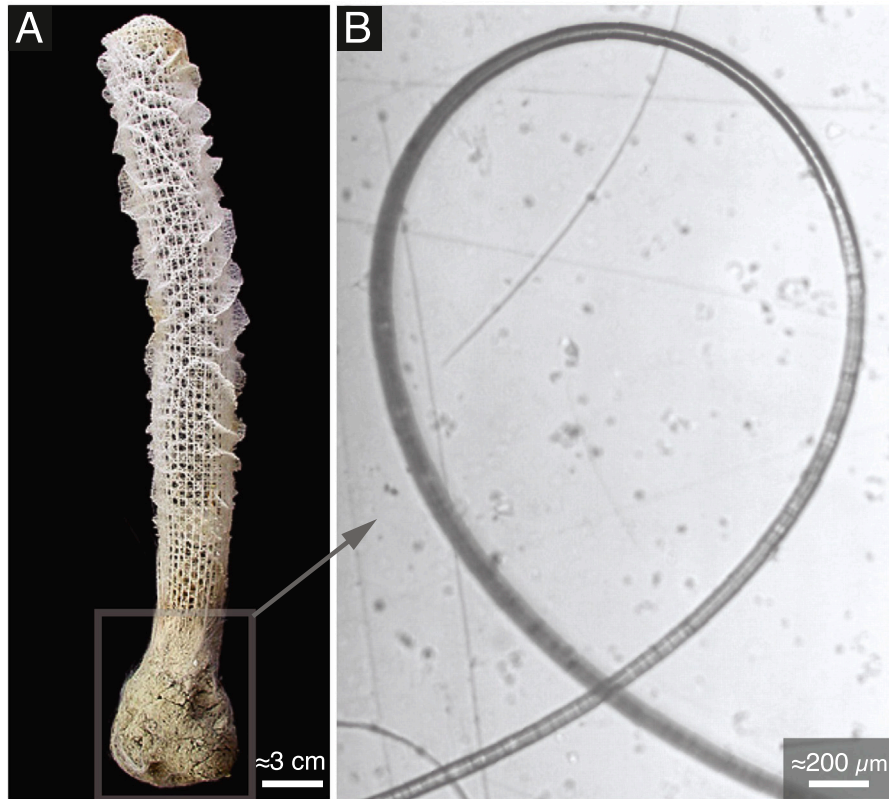


Fig. 2. A *Euplectella aspergillum* (*Ea.*) basalia spicule in a looped configuration. (A) *Ea.* basalia spicules anchor the sponge onto the sea floor. (B) *Ea.* basalia spicules may form loops as they get tightened around sea floor sediments.

A nonlinear beam model for the spicule predicts that the looped configuration's LCC, in addition to the layers' strengths and thicknesses, will also depend inversely on the spicule's effective bending stiffness.<sup>1</sup>

Atomic force microscopy testing (Weaver et al., 2007) reveals that the thin organic layers that separate the siliceous layers are much more compliant compared to the siliceous layers (see Fig. 1(C)). Motivated by this observation, we simplify our analysis by assuming that the organic layers do not transmit any shear stresses between the silica layers.

If we model the silica of the layers as being a homogeneous, isotropic, linear elastic material, and overall deformation of the spicule using small deformation beam theories, then we find that the spicule's effective bending stiffness is independent of the number of silica layers. This result, when taken alone, weakens the possibility that (for the case of the looped configuration) the LCC hypothesis is true. Modeling the spicule silica using a homogeneous isotropic material model seems natural considering that the spicule seems to have axial symmetry. That is, the spicule would look the same on rotating it by any angle about its long axis. However, there are many other material models, and not just the isotropic material model, that allow for the existence of axial symmetry. We introduce and discuss those other material models in Section 3.2.

On considering those more general elastic material models, we found that the stiffness of a spicule can decrease with the introduction of layers. These more general material models are called helically orthotropic material models (HOMM), and come under the general class of curvilinear anisotropic material models. Working under the paradigm of HOMM, we found the precise condition on the elastic constants of a cylindrical tube that on being satisfied guarantees that the cylindrical tube's bending stiffness will decrease on splitting the cylindrical tube into four or more co-axial tubes. Currently, the exact elastic characteristics of the material composing the spicules are not known. Therefore, our result that when the spicules' elasticity possesses certain curvilinear anisotropy characteristics then its bending stiffness will decrease with the number of layers provides support to the LCC hypothesis; this is because, as we mentioned previously, the looped configuration's LCC depends inversely on its bending stiffness.

The condition which, on being satisfied guarantees that a cylindrical tube's bending stiffness will decrease on splitting the cylindrical tube into four or more co-axial tubes is given in (4.7) in Section 4.2. In our numerical experiments we, in fact, found that when (4.7) is satisfied the bending stiffness reduces even on splitting the tube into just two tubes.

<sup>1</sup> We will present this nonlinear beam model elsewhere.

We also derived the condition which, on being satisfied, guarantees that a cylindrical tube’s bending stiffness will not decrease on being split into any number of layers. This condition is given in (4.8) in Section 4.3. The isotropic material model effectively always satisfies this condition. Thus the finding from the small deformation beam theories that the bending stiffness of an isotropic beam cannot be reduced by splitting it into two or more co-axial tubes is consistent with our result.

The outline of the paper is as follows. In Section 2 we introduce the geometrical notions necessary for describing the various curvilinear anisotropic elasticity material models that we discuss in this paper. In Section 3 we briefly review the theory of curvilinear anisotropy and present the various curvilinear anisotropic elasticity material models. Sections 2 and 3 are supporting sections, and contain mostly background information. We present three primary results in this paper in Section 4. In Section 4.1 we present a structural mechanics model for the spicules based on the work of Jolicoeur and Cardou (1994). Each layer in our structural mechanics model for the spicule can be composed of a different helically orthotropic material. In Section 4.2 we present the condition on the elastic constants of a cylindrical tube that on being satisfied guarantees that the cylindrical tube’s bending stiffness will decrease on splitting the tube into four or more co-axial tubes. In Section 4.3 we present the condition on the elastic constants of a cylindrical tube that on being satisfied guarantees that the cylindrical tube’s bending stiffness will not decrease on splitting the tube into any number of layers. In Section 5 we discuss the numerical calculations that we carried out for checking the validity of the results we presented in Sections 4.2 and 4.3. Our arguments and results are based on several assumptions ranging from the mechanical behavior of the spicules to the role of shear stresses in bending stiffness. We collect and list the most pertinent assumptions in our work in Section 6, so that it is easier to ascertain the validity of our arguments and results in the future.

**2. Mathematical preliminaries**

*2.1. Geometrical notions*

We take that the spicule assumes its physical bent configurations in the space  $\mathcal{E}$ , which is an affine point space. We take the Euclidean space  $\mathbb{E}$  to be  $\mathcal{E}$ ’s associated vector translation space. We take some arbitrary point  $O \in \mathcal{E}$  to be  $\mathcal{E}$ ’s origin.

*2.1.1. Basis vectors*

*Cartesian basis vectors.* Let  $\mathbf{x} = (\mathbf{x}_i)_{i \in (1,2,3)}$  be an arbitrary, orthonormal set of vectors in  $\mathbb{E}$ . By orthonormal we mean that  $\mathbf{x}_i \cdot \mathbf{x}_j = \delta_{ij}$ , for  $i, j \in (1, 2, 3)$ , where  $\delta_{ij}$  is the Kronecker delta symbol and is defined to be unity if  $i = j$  and naught otherwise. The Cartesian co-ordinates of  $X \in \mathcal{E}$ , which we denote as  $\check{X}[X] = (\check{X}_i[X])_{i \in (1,2,3)}$ , are components of the vector  $X - O \in \mathbb{E}$  with respect to  $\mathbf{x}_i$ . We call the map  $\mathcal{E} \ni X \mapsto \check{X}[X] \in \mathbb{R}^3$  the Cartesian co-ordinate map. There also exists the inverse Cartesian co-ordinate map  $\mathbb{R}^3 \ni X \mapsto \check{X}[X] \in \mathcal{E}$ , such that  $\check{X}[\check{X}[X]] = X$ .

*Cylindrical basis vectors.* The cylindrical co-ordinates of  $X$ , which we denote as  $(\check{r}[X], \check{\theta}[X], \check{z}[X])$ , are defined in the standard manner using the Cartesian co-ordinates  $\check{X}[X]$ . Let  $\mathbf{e}[X] := (\mathbf{e}_i[X])_{i \in (1,2,3)}$ , where

$$\mathbf{e}_1[X] = \cos[\check{\theta}[X]] \mathbf{x}_1 + \sin[\check{\theta}[X]] \mathbf{x}_2, \tag{2.1a}$$

$$\mathbf{e}_2[X] = -\sin[\check{\theta}[X]] \mathbf{x}_1 + \cos[\check{\theta}[X]] \mathbf{x}_2, \tag{2.1b}$$

$$\mathbf{e}_3[X] = \mathbf{x}_3. \tag{2.1c}$$

The vectors  $\mathbf{e}[X]$  are the cylindrical basis vectors.

*Helical basis vectors.* Let  $\mathbf{f}_\varphi[X] := (\mathbf{f}_{\varphi,i}[X])_{i \in (1,2,3)}$ , where

$$\mathbf{f}_{\varphi,i}[X] = \sum_{j \in (1,2,3)} Q_{i,j}[\varphi] \mathbf{e}_j[X], \tag{2.2}$$

$$Q_{..}[\varphi] = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos[\varphi] & -\sin[\varphi] \\ 0 & \sin[\varphi] & \cos[\varphi] \end{pmatrix}, \tag{2.3}$$

$Q_{..}[\varphi] \in \mathcal{M}_{3 \times 3}(\mathbb{R})^2$  and  $\varphi \in [0, \pi]$ . We refer to  $\mathbf{f}_\varphi[X]$  as the helical basis vectors, and to  $\varphi$  as the helix angle. The reason behind this is as follows. Consider the space curve  $\check{r}_{X,\varphi}[\cdot] : \mathbb{R} \rightarrow \mathbb{R}^3$ ,

$$\check{r}_{X,\varphi}[\xi] = (\check{r}[X] \cos[\check{\theta}[X] - \xi], \check{r}[X] \sin[\check{\theta}[X] - \xi], \check{r}[X] \xi \cot[\varphi] + \check{z}[X]).$$

The curve  $\check{r}_{X,\varphi}[\cdot]$  is a helix in  $\mathbb{R}^3$  with radius  $\check{r}[X]$  and pitch  $2\pi\check{r}[X]/\tan[\varphi]$  that passes through  $\check{X}[X]$ . We define the reference helix corresponding to  $\check{r}_{X,\varphi}[\cdot]$  as  $\check{X} \circ \check{r}_{X,\varphi}[\cdot] : \mathbb{R} \rightarrow \mathcal{E}$ . The reference helix lies in  $\mathcal{E}$  and passes through the point  $X$ . The vectors  $\mathbf{f}_\varphi[X]$  are closely related to the Frenet–Serret frame (Forsyth, 1912) of the reference helix at  $X$ . More specifically, the tangent vector in the Frenet–Serret frame of the reference helix at  $X$  equals  $\mathbf{f}_{\varphi,3}[X]$ , the normal vector equals  $-\mathbf{f}_{\varphi,1}[X]$ , and the bi-normal vector equals  $-\mathbf{f}_{\varphi,2}[X]$  (see Fig. 3).

The vector sets  $(\mathbf{e}_i[X])_{i \in (1,2,3)}$  and  $(\mathbf{f}_{\varphi,i}[X])_{i \in (1,2,3)}$  are, respectively, orthonormal. The set  $(\mathbf{f}_{\varphi,i}[X])_{i \in (1,2,3)}$  can be obtained by rotating  $(\mathbf{e}_i[X])_{i \in (1,2,3)}$  about  $\mathbf{e}_1[X]$  (the radial vector) by  $-\varphi$  (i.e.,  $\varphi$  in the clockwise direction; see Fig. 3).

<sup>2</sup> We consider a matrix to be an ordered set of elements where all the elements are ordered sets of the same cardinality. Specially, we denote the ordered set containing  $m \in \mathbb{Z}_{\geq 1}$  elements where each element is an ordered set containing  $n \in \mathbb{Z}_{\geq 1}$  real numbers as  $\mathcal{M}_{m \times n}(\mathbb{R})$ .

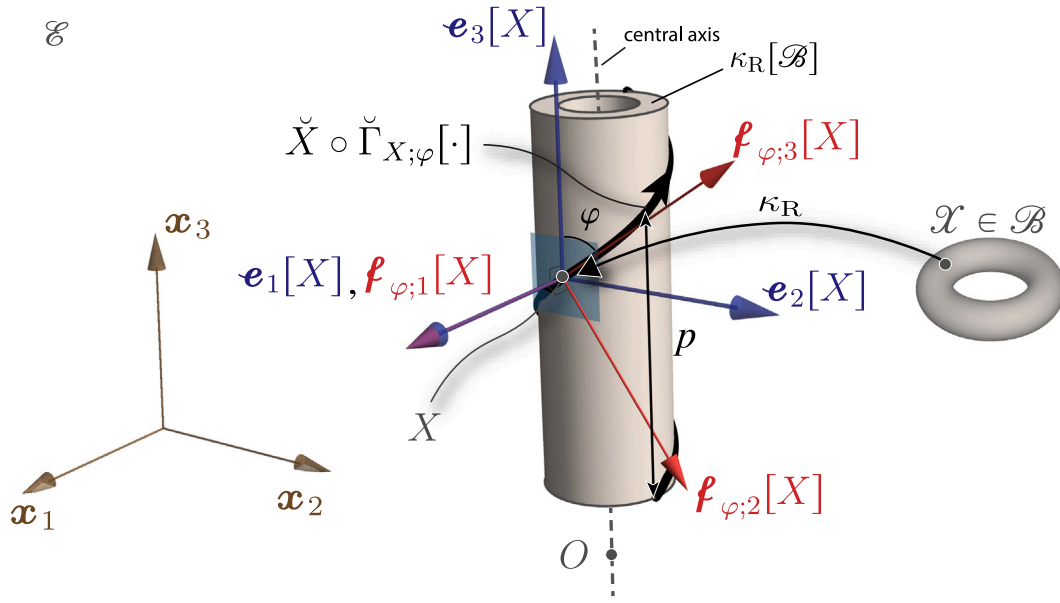


Fig. 3. The geometry of a single cylindrical layer in our model for the spicule. Our spicule model consists of one or more of such cylindrical layers. The various geometrical quantities illustrated and marked, such as  $\mathcal{B}$ ,  $\boldsymbol{e}_i[X]$ ,  $i = 1, 2, 3$ ,  $\varphi$ ,  $\check{X} \circ \check{\Gamma}_{X;\varphi}[\cdot]$ , etc., are all defined and discussed in Sections 2.1.1 and 3.1.

### 2.1.2. Units

Following the formalism introduced in [Rahaman et al. \(2020\)](#) and [Deng and Kesari \(2021\)](#), we take the vectors in  $\mathbb{E}$  to have units of length, such as meters or millimeters, and refer to  $\mathbb{E}$  as the physical matter vector space. Consequently, the vectors in the sets  $(\boldsymbol{x}_i)_{i \in (1,2,3)}$ ,  $(\boldsymbol{e}_i[X])_{i \in (1,2,3)}$ , and  $(\boldsymbol{f}_{\varphi i}[X])_{i \in (1,2,3)}$  all carry units of length, and the components of a vector in  $\mathbb{E}$  with respect to any of these sets are dimensionless.

In fact, as per our formalism, in all our physical (Euclidean) vector spaces units will be an intrinsic aspect of the spaces' vectors themselves. And all elements of a physical vector space have the same units. For example, say that  $\mathbb{F}$  is the force Euclidean vector space, and  $\boldsymbol{f}_1, \boldsymbol{f}_2, \boldsymbol{f}_3$  are an orthonormal set of vectors in  $\mathbb{F}$ . Then,  $\boldsymbol{f}_i$  carry with them units of force. These units can be Newton, milli-Newton, etc. Consequently, if an arbitrary vector  $\boldsymbol{f} \in \mathbb{F}$  is equal to  $\sum_{i \in (1,2,3)} f_i \boldsymbol{f}_i$ , then the components  $f_i$  are dimensionless, i.e., they belong to  $\mathbb{R}$ .

We use the map  $U[\cdot]$  to explicitly refer to a vector space's units. That is, it takes a physical vector space as an argument and returns the units carried by the elements of that space. For example,  $U[\mathbb{E}]$  can be meters, micro - meters, etc., and  $U[\mathbb{F}]$  can be Newtons, mill-newtons, etc.

## 3. Mechanics preliminaries

Let  $\mathcal{B}$  be a manifold homeomorphic to the topological space formed by sweeping a disk around a circle (solid torus). We call  $\mathcal{B}$  the material manifold and call its points material particles. Let  $\kappa_R : \mathcal{B} \rightarrow \mathcal{E}$  be a continuous injective map such that  $\kappa_R[\mathcal{B}]$  is a straight tube having an annular cross-section with its axis passing through  $O$  and parallel to the  $\boldsymbol{x}_3$  direction (see Fig. 3). We call  $\kappa_R$  the reference configuration, and  $\kappa_R[\mathcal{B}]$  the reference body.

### 3.1. Linear elasticity

As per the generalized Hooke's law

$$\boldsymbol{\sigma}[\boldsymbol{x}] = \mathbb{c}[\boldsymbol{x}] \boldsymbol{\epsilon}[\boldsymbol{x}], \quad (3.1)$$

where  $\boldsymbol{x} \in \mathcal{B}$  is an arbitrary material particle and  $\boldsymbol{\sigma}[\boldsymbol{x}]$ ,  $\boldsymbol{\epsilon}[\boldsymbol{x}]$ , and  $\mathbb{c}[\boldsymbol{x}]$  are, respectively, the Cauchy stress tensor, the infinitesimal strain tensor, and the elastic stiffness tensor at  $\boldsymbol{x}$ . The Hooke's law can also be expressed as

$$\boldsymbol{\epsilon}[\boldsymbol{x}] = \mathbb{s}[\boldsymbol{x}] \boldsymbol{\sigma}[\boldsymbol{x}], \quad (3.2)$$

where  $\mathbb{s}[\boldsymbol{x}]$  is the elastic compliance tensor, which is the inverse of  $\mathbb{c}[\boldsymbol{x}]$ .

**Compliance tensor components.** We take  $\mathcal{Z}[\mathcal{X}] := (\mathcal{Z}_i[\mathcal{X}])_{i \in (1,2,3)}$  to be an arbitrary set of orthonormal vectors in  $\mathbb{E}$ . For example, it can be  $\mathbf{e}[\kappa_{\mathbb{R}}[\mathcal{X}]]$  or  $\mathbf{f}_{\varphi}[\kappa_{\mathbb{R}}[\mathcal{X}]]$  (henceforth, when there is no risk of confusion, we will denote these set of orthonormal vectors simply as  $\mathbf{e}[\mathcal{X}]$  and  $\mathbf{f}_{\varphi}[\mathcal{X}]$ ). We denote the components of  $\mathfrak{s}[\mathcal{X}]$  with respect to bases related to  $\mathcal{Z}[\mathcal{X}]$  as  $s_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}]$ , where  $i, j, k, l \in (1, 2, 3)$ . In general  $s_{((i,j),(k,l))}^{\mathcal{Z}}[\cdot]$  denotes one of the 81 real valued functions over  $\mathcal{B}$ . It is a standard exercise to show that these 81 functions are not independent and can be expressed using only 21 functions. We denote those 21 functions as  $s_{(i,j)}^{\mathcal{Z}}[\cdot] : \mathcal{B} \rightarrow \mathbb{R}$ ,  $i \in (1, \dots, 6)$  and  $1 \leq j \leq i$ . We also define the functions  $s_{(i,j)}^{\mathcal{Z}}[\cdot]$  where  $i \in (1, \dots, 6)$  and  $i < j \leq 6$  as  $s_{(j,i)}^{\mathcal{Z}}[\cdot]$ . The numbers  $s_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}]$  and  $s_{(i,j)}^{\mathcal{Z}}[\mathcal{X}]$  are related to each other as

$$s_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}] = s_{(\text{voi}[i,j], \text{voi}[k,l])}^{\mathcal{Z}}[\mathcal{X}], \tag{3.3}$$

where  $\text{voi} : (1, 2, 3)^2 \rightarrow (1, \dots, 6)$ ,  $\text{voi}[i, i] = i$ ,  $\text{voi}[2, 3] = 4$ ,  $\text{voi}[1, 3] = 5$ ,  $\text{voi}[1, 2] = 6$ , and when  $j < i$ ,  $\text{voi}[i, j] = \text{voi}[j, i]$ . As the reader might have deduced the function  $\text{voi}[\cdot]$  implements the Voigt notation.

**Compliance matrices.** We term the symmetric matrix  $s^{\mathcal{Z}}[\mathcal{X}] := (s_{(i,j)}^{\mathcal{Z}}[\mathcal{X}])_{i,j \in (1, \dots, 6)}$  the compliance matrix. In particular, we refer to  $s^{\mathcal{X}}[\mathcal{X}]$ ,  $s^e[\mathcal{X}]$ , and  $s^{\mathbf{f}_{\varphi}}[\mathcal{X}]$ , respectively, as the Cartesian compliance matrix, the cylindrical compliance matrix, and the helical compliance matrix at  $\mathcal{X}$ .

**Stiffness tensor components.** We denote the components of  $\mathfrak{c}[\mathcal{X}]$  with respect to bases related to  $\mathcal{Z}[\mathcal{X}]$  as  $c_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}]$ , where  $i, j, k, l \in (1, 2, 3)$ . Similar to the case of compliance tensor components, the functions  $c_{((i,j),(k,l))}^{\mathcal{Z}}[\cdot] : \mathcal{B} \rightarrow \mathbb{R}$  can be expressed using only 21 functions, which we denote as  $c_{(i,j)}^{\mathcal{Z}}[\cdot] : \mathcal{B} \rightarrow \mathbb{R}$ ,  $i \in (1, \dots, 6)$  and  $1 \leq j \leq i$ . We also define the functions  $c_{(i,j)}^{\mathcal{Z}}[\cdot]$  where  $i \in (1, \dots, 6)$  and  $i < j \leq 6$  as  $c_{(j,i)}^{\mathcal{Z}}[\cdot]$ . The numbers  $c_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}]$  and  $c_{(i,j)}^{\mathcal{Z}}[\mathcal{X}]$  are related to each other as

$$c_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}] = c_{(\text{voi}[i,j], \text{voi}[k,l])}^{\mathcal{Z}}[\mathcal{X}]. \tag{3.4}$$

**Stiffness matrices.** We term the symmetric matrix  $c^{\mathcal{Z}}[\mathcal{X}] := (c_{(i,j)}^{\mathcal{Z}}[\mathcal{X}])_{i,j \in (1, \dots, 6)}$  the stiffness matrix. In particular, we refer to  $c^{\mathcal{X}}[\mathcal{X}]$ ,  $c^e[\mathcal{X}]$ , and  $c^{\mathbf{f}_{\varphi}}[\mathcal{X}]$  as, respectively, the Cartesian stiffness matrix, the cylindrical stiffness matrix, and the helical stiffness matrix at  $\mathcal{X}$ .

**Inverse stiffness matrices ( $C^{\mathcal{Z}}[\mathcal{X}]$ )<sup>3</sup>.** We define the inverse stiffness matrix  $C^{\mathcal{Z}}[\mathcal{X}] = \text{Inv}[c^{\mathcal{Z}}[\mathcal{X}]]$ . Here,  $\text{Inv}[\cdot]$  is the standard matrix inversion operation. Thus,  $C^{\mathcal{Z}}[\mathcal{X}]$  belongs to the set of  $6 \times 6$  matrices of real numbers,  $\mathcal{M}_{6 \times 6}(\mathbb{R})$ . In particular,  $C^{\mathcal{X}}[\mathcal{X}]$ ,  $C^e[\mathcal{X}]$ , and  $C^{\mathbf{f}_{\varphi}}[\mathcal{X}]$  are, respectively, by definition  $\text{Inv}[c^{\mathcal{X}}[\mathcal{X}]]$ ,  $\text{Inv}[c^e[\mathcal{X}]]$ , and  $\text{Inv}[c^{\mathbf{f}_{\varphi}}[\mathcal{X}]]$ . We refer to  $C^{\mathcal{X}}[\mathcal{X}]$ ,  $C^e[\mathcal{X}]$ , and  $C^{\mathbf{f}_{\varphi}}[\mathcal{X}]$ , respectively, as the Cartesian inverse stiffness matrix, the cylindrical inverse stiffness matrix, and the helical inverse stiffness matrix at  $\mathcal{X}$ .

The procedure for computing  $(s_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}])_{i,j,k,l \in (1,2,3)}$ ,  $s^{\mathcal{Z}}[\mathcal{X}]$ ,  $(c_{((i,j),(k,l))}^{\mathcal{Z}}[\mathcal{X}])_{i,j,k,l \in (1,2,3)}$ ,  $c^{\mathcal{Z}}[\mathcal{X}]$ , and  $C^{\mathcal{Z}}[\mathcal{X}]$  from one another is outlined in Fig. 8.

### 3.2. Material models

If  $C^{\mathcal{Z}}[\cdot]$  is a constant function then we say that  $\mathcal{B}$  has  $\mathcal{Z}$ -homogeneity. More specifically, if  $C^e[\cdot]$  is a constant function then we say that  $\mathcal{B}$  has cylindrical homogeneity, and if  $C^{\mathbf{f}_{\varphi}}[\cdot]$  is a constant function then we say that  $\mathcal{B}$  has helical homogeneity. Most applications of linear elasticity restrict themselves to the case where  $C^{\mathcal{X}}[\cdot]$  is a constant. This is the case of  $\mathcal{B}$  being ‘‘homogeneous’’. We will refer to this case as  $\mathcal{B}$  having Cartesian homogeneity.

We note that when  $C^{\mathcal{Z}}[\cdot]$  is a constant function,  $c^{\mathcal{Z}}[\cdot]$ ,  $c_{((i,j),(k,l))}^{\mathcal{Z}}[\cdot]$ ,  $s^{\mathcal{Z}}[\cdot]$ , and  $s_{((i,j),(k,l))}^{\mathcal{Z}}[\cdot]$  are constant functions as well.

#### 3.2.1. Cylindrically, helically, and cartesian orthotropic, transversely-isotropic, cubic, and isotropic materials

**Cylindrically, helically, and cartesian orthotropic materials.** We say that  $\mathcal{B}$  is orthotropic iff in addition to  $C^{\mathcal{Z}}[\cdot]$  being a constant function, its constant value has the form

$$\begin{pmatrix} \frac{1}{E_1} & -\frac{\nu_{12}}{E_1} & -\frac{\nu_{13}}{E_1} & 0 & 0 & 0 \\ -\frac{\nu_{12}}{E_1} & \frac{1}{E_2} & -\frac{\nu_{23}}{E_2} & 0 & 0 & 0 \\ -\frac{\nu_{13}}{E_1} & -\frac{\nu_{23}}{E_2} & \frac{1}{E_3} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{\mu_{23}} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{\mu_{13}} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{\mu_{12}} \end{pmatrix}, \tag{3.5}$$

<sup>3</sup> in many cases, what we refer to as inverse stiffness matrices are referred to as compliance matrices; one instance of this is the work by Jolicoeur and Cardou (1994).

where  $E_1, E_2, E_3, \nu_{12}, \nu_{13}, \nu_{23}, \mu_{12}, \mu_{13}$ , and  $\mu_{23}$  are real constants such that the matrix (3.5) is positive definite. We denote the set of material properties  $(E_1, E_2, E_3, \nu_{12}, \nu_{13}, \nu_{23}, \mu_{12}, \mu_{13}, \mu_{23})$  as  $\bar{M}$ . In particular, if  $\mathcal{G}[\mathbf{x}] = \mathbf{e}[\mathbf{x}]$  then we say that  $\mathcal{B}$  is cylindrically orthotropic ( $\mathbf{e}$ -orthotropic), if  $\mathcal{G}[\mathbf{x}] = \mathcal{L}_\varphi[\mathbf{x}]$  then that it is helically orthotropic ( $\mathcal{L}_\varphi$ -orthotropic), and if  $\mathcal{G}[\mathbf{x}] = \mathbf{x}$  then that it is Cartesian orthotropic ( $\mathbf{x}$ -orthotropic).

*Cylindrically, helically, and cartesian transversely isotropic materials.* We say that  $\mathcal{B}$  is transversely isotropic iff in addition to  $C^\mathcal{G}[\cdot]$  being a constant function its constant value has the form

$$\begin{pmatrix} \frac{1}{E_p} & -\frac{\nu_p}{E_p} & -\frac{\nu_{pt}}{E_p} & 0 & 0 & 0 \\ -\frac{\nu_p}{E_p} & \frac{1}{E_p} & -\frac{\nu_{pt}}{E_p} & 0 & 0 & 0 \\ -\frac{\nu_{pt}}{E_p} & -\frac{\nu_{pt}}{E_p} & \frac{1}{E_t} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{\mu_t} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{\mu_t} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{2(\nu_p+1)}{E_p} \end{pmatrix}, \tag{3.6}$$

where  $E_p, E_t, \nu_p, \nu_{pt}$ , and  $\mu_t$  are real constants such that the matrix (3.6) is positive definite. We denote the set of material properties  $(E_p, E_t, \nu_p, \nu_{pt}, \mu_t)$  as  $\bar{M}$ . In particular, if  $\mathcal{G}[\mathbf{x}] = \mathbf{e}[\mathbf{x}]$  then we say that  $\mathcal{B}$  is cylindrically transversely isotropic ( $\mathbf{e}$ -transversely isotropic), if  $\mathcal{G}[\mathbf{x}] = \mathcal{L}_\varphi[\mathbf{x}]$  then that it is helically transversely isotropic ( $\mathcal{L}_\varphi$ -transversely isotropic), and if  $\mathcal{G}[\mathbf{x}] = \mathbf{x}$  then that it is Cartesian transversely isotropic ( $\mathbf{x}$ -transversely isotropic).

*Cylindrically, helically, and cartesian cubic materials.* We say that  $\mathcal{B}$  is cubic iff in addition to  $C^\mathcal{G}[\cdot]$  being a constant function its constant value has the form

$$\begin{pmatrix} \frac{1}{E_c} & -\frac{\nu_c}{E_c} & -\frac{\nu_c}{E_c} & 0 & 0 & 0 \\ -\frac{\nu_c}{E_c} & \frac{1}{E_c} & -\frac{\nu_c}{E_c} & 0 & 0 & 0 \\ -\frac{\nu_c}{E_c} & -\frac{\nu_c}{E_c} & \frac{1}{E_c} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{\mu_c} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{\mu_c} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{\mu_c} \end{pmatrix}, \tag{3.7}$$

where  $E_c, \nu_c$ , and  $\mu_c$  are real constants such that the matrix (3.7) is positive definite. We denote the set of material properties  $(E_c, \nu_c, \mu_c)$  as  $\bar{M}$ . In particular, if  $\mathcal{G}[\mathbf{x}] = \mathbf{e}[\mathbf{x}]$  then we say that  $\mathcal{B}$  is cylindrically cubic ( $\mathbf{e}$ -cubic), if  $\mathcal{G}[\mathbf{x}] = \mathcal{L}_\varphi[\mathbf{x}]$  then that it is helically cubic ( $\mathcal{L}_\varphi$ -cubic), and if  $\mathcal{G}[\mathbf{x}] = \mathbf{x}$  then that it is Cartesian cubic ( $\mathbf{x}$ -cubic).

*Cylindrically, helically, and cartesian isotropic materials.* We say that  $\mathcal{B}$  is isotropic iff in addition to  $C^\mathcal{G}[\cdot]$  being a constant function, its constant value has the form

$$\begin{pmatrix} \frac{1}{E} & -\frac{\nu}{E} & -\frac{\nu}{E} & 0 & 0 & 0 \\ -\frac{\nu}{E} & \frac{1}{E} & -\frac{\nu}{E} & 0 & 0 & 0 \\ -\frac{\nu}{E} & -\frac{\nu}{E} & \frac{1}{E} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{2(\nu+1)}{E} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{2(\nu+1)}{E} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{2(\nu+1)}{E} \end{pmatrix}, \tag{3.8}$$

where  $E$  and  $\nu$  are real constants such that the matrix (3.8) is positive definite. We denote the set of material properties  $(E, \nu)$  as  $\bar{M}$ . In particular, if  $\mathcal{G}[\mathbf{x}] = \mathbf{e}[\mathbf{x}]$  then we say that  $\mathcal{B}$  is cylindrically isotropic ( $\mathbf{e}$ -isotropic), if  $\mathcal{G}[\mathbf{x}] = \mathcal{L}_\varphi[\mathbf{x}]$  then that it is helically isotropic ( $\mathcal{L}_\varphi$ -isotropic), and if  $\mathcal{G}[\mathbf{x}] = \mathbf{x}$  then that it is Cartesian isotropic ( $\mathbf{x}$ -isotropic).

### 3.2.2. Interdependence between the materials models

In Section 3.2.1 we discussed material models in which the material is either orthotropic, transversely isotropic, cubic, or isotropic in the helical, cylindrical, or Cartesian basis. These 12 material models are represented by the 12 boxes in Fig. 4. However, it can be shown that if a material is isotropic in one of the three bases, then it is also isotropic in the other two bases. We highlight this identification by drawing a box around the helically, cylindrically, and Cartesian isotropic material models in Fig. 4. Also, it can be shown that if a material is transversely isotropic in the cylindrical basis then it is also transversely isotropic in the Cartesian basis, and *vice versa*. We highlight this identification by drawing a box around the cylindrically and Cartesian transversely isotropic

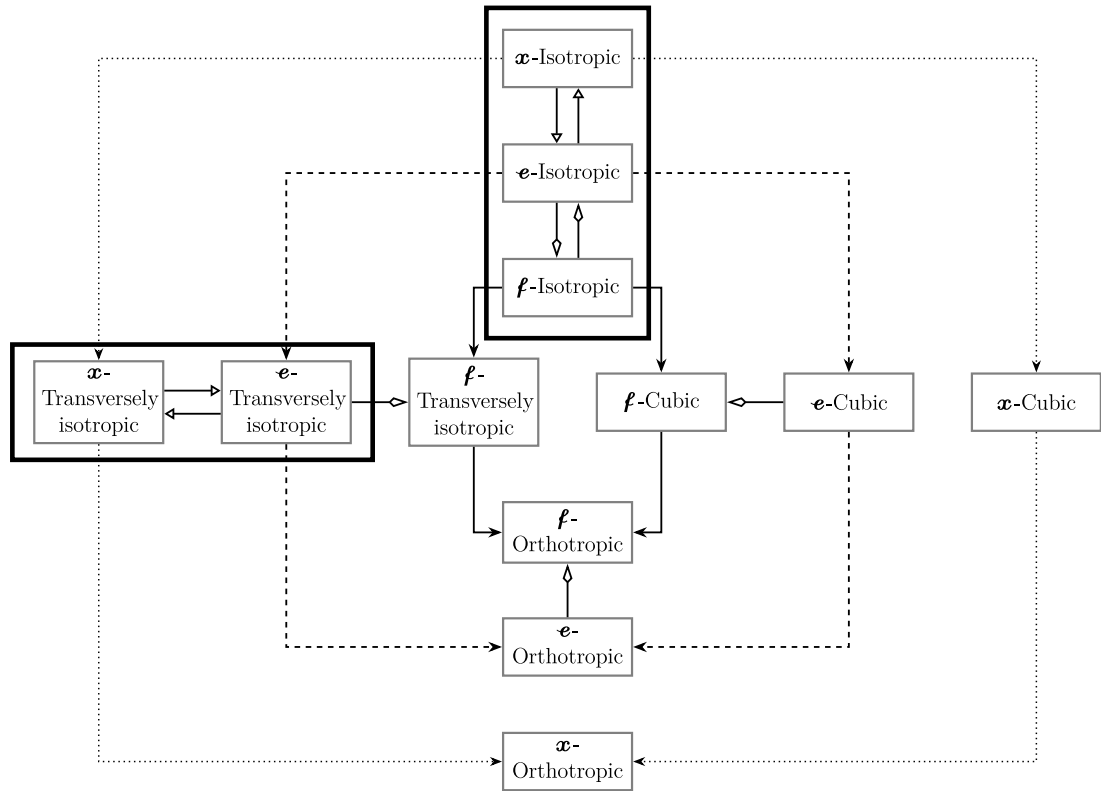


Fig. 4. Interdependence between the material models considered in this paper. The following observations can be made: (A) It can be seen that within a set of material models that have  $\mathcal{G}$ -homogeneity, the isotropic, cubic, and transversely isotropic material models are all special cases of the orthotropic material model. This is marked with solid arrows ( $\longrightarrow$ ) for material models with helical homogeneity, with dashed arrows ( $--\longrightarrow$ ) for those with cylindrical homogeneity, and with dotted arrows ( $\cdots\longrightarrow$ ) for those with Cartesian homogeneity. (B) Each arrow with a kite tip ( $\longleftarrow\triangleright$ ) marks the relationship between a helically homogeneous material model and a cylindrically homogeneous material. For example, the arrow pointing from the cylindrically orthotropic material model to the helically orthotropic material model shows that the cylindrically orthotropic material model is a subset of the helically orthotropic material model. (C) Each arrow with a triangular tip ( $\longrightarrow\blacktriangleright$ ) marks the relationship between a cylindrically homogeneous material model and a Cartesian homogeneous material model. For example, the arrow pointing from the Cartesian transversely isotropic material model to the cylindrically transversely isotropic material model shows that the former is a subset of the latter.

material models in Fig. 4. Due to these identifications each of the 12 material models fall into one of following 9 categories.

- (MM.I) helically orthotropic,
- (MM.II) helically transversely isotropic,
- (MM.III) helically cubic,
- (MM.IV) cylindrically orthotropic,
- (MM.V) cylindrically cubic,
- (MM.VI) helically/cylindrically/Cartesian isotropic,
- (MM.VII) cylindrically/Cartesian transversely isotropic,
- (MM.VIII) Cartesian orthotropic,
- (MM.IX) Cartesian cubic.

The above 9 cases, however, are not completely independent of each other. For example, if a material is cylindrically orthotropic then it is also helically orthotropic. That is, the set of all cylindrically orthotropic materials is a subset of the set of all helically orthotropic materials. We highlight this subset relationship by drawing an arrow from the box representing cylindrically orthotropic material model to the box representing helically orthotropic material model in Fig. 4. We identify all other subset relationships in Fig. 4 by drawing arrows in a similar fashion.

Following the different paths identified by the arrows in Fig. 4 it can be seen that leaving out the Cartesian orthotropic material model (MM.VIII) and the Cartesian cubic material model (MM.IX), all other material models are special cases of the helically orthotropic material model (MM.I).



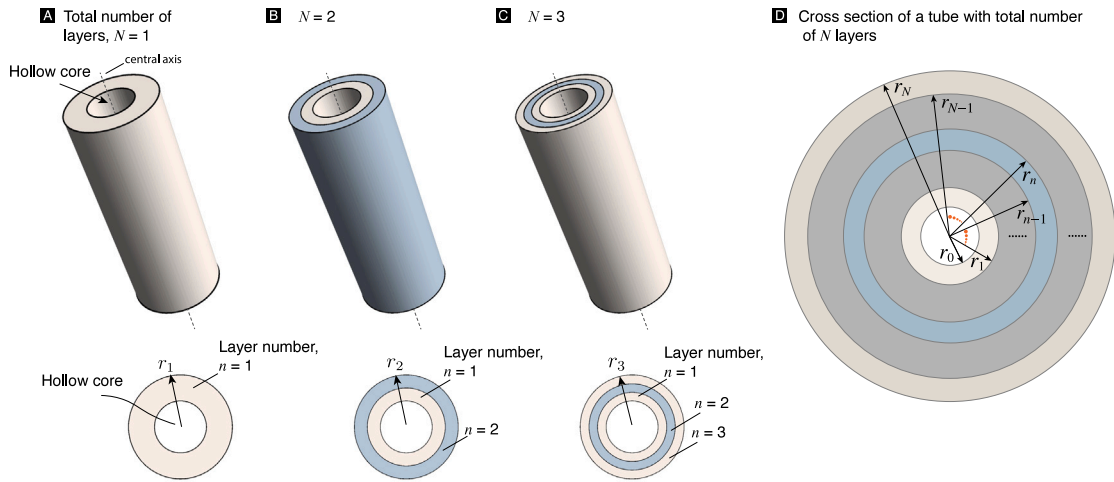


Fig. 5. Three-dimensional schematics of  $N$ -layer cylindrical assembly. (A) General view and cross section view for  $N = 1$ . (B) General view and cross section view for  $N = 2$ . (C) General view and cross section view for  $N = 3$ . (D) Cross section view of an arbitrary  $N$ -layer cylindrical structure. Inner and outer radii for the 1st layer,  $n$ th layer and  $N$ th layer are marked in the figure.

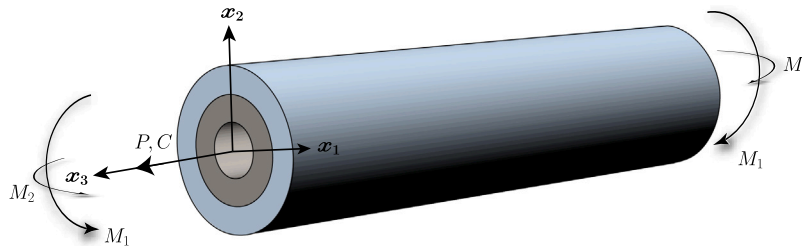


Fig. 6. Schematic of the problem studied in Jolicoeur and Cardou (1994). The system is an assembly of cylindrical tubes, made of helically orthotropic materials, that is subjected to an axial force  $P$ , twisting moment  $C$ , and bending moments  $M_1, M_2$ . The hypotheses of the problem are: the strains in the cylinder are small; the axial load ( $P$ ), the moments ( $M_1, M_2$ , and  $C$ ), and the curvature of the assembly's deformed central axis do not vary in the  $x_3$ -direction; there is no resultant shear force on any of the assembly's cross-sections; and the stresses and strains only depend on  $r$  and  $\theta$ . Jolicoeur and Cardou use a stress function approach to solve the problem.

## 4. Results

### 4.1. A structural mechanics model for lamellar spicules

Building on the work of Lekhnitskii (1981), Jolicoeur and Cardou (1994) developed and studied a structural mechanics model for a tight fitting assembly of concentrically arranged tubes which we will refer to henceforth as the JC model. The assembly can include a tight fitting solid cylinder at its center. Each of the tubes have the shape, position, and orientation of  $\kappa_R [\mathcal{B}]$  (see Fig. 3). We denote the total number of tubes in the assembly as  $N \in \mathbb{Z}_{\geq 1}$ , and the inner and outer radii of the  $n$ th tube, where  $n \in (1, \dots, N)$ , as  $r_{n-1} U[\mathbb{E}]$  and  $r_n U[\mathbb{E}]$ , respectively, where  $0 < r_{n-1} < r_n$ <sup>4</sup>. An illustration of the assembly is shown in Fig. 5. The cross-sections can support bending moments (marked as  $M_1$  and  $M_2$  in Fig. 6), twisting moment (marked as  $C$  in Fig. 6), and an axial force ( $P$  in Fig. 6).

We will be referring to the tubes also as cylindrical layers, or simply as layers. Jolicoeur and Cardou consider two cases, one in which the internal contacting surfaces are able to freely slip with respect to each other, and another in which they can have no relative slip. In both cases the surfaces do not undergo any relative normal motion.

#### 4.1.1. Particularization of the JC model

We use a particularized form of the JC model to understand the bending behavior of the spicules that we discussed in Section 1. In our particularization we ignore the twisting moment and the axial force. We also ignore the central cylinder, since the spicule's central core is not exactly a solid cylinder, but, rather, is closer to being a hollow cylinder; albeit, one in which the inner radius is

<sup>4</sup> For example, if  $U[\mathbb{E}]$  was centimeters, and the inner and outer radii of the 10th tube in an assembly of 20 tubes were 10 and 15 millimeters, respectively, then in that case  $r_9 = 1.0$  and  $r_{10} = 1.5$ .

quite small. The core has a proteinaceous filament running along its length at its center (Weaver and Morse, 2003). We also take the internal surfaces to be freely slipping. Considering the spicules' geometry, internal structure, material properties, and loading, which we discussed in Section 1, this particularization is as applicable as the complete JC model for modeling the mechanics of the spicule while at the same time being substantially simpler than it.

To apply the JC model, and our particularization of it, it is necessary that each tube be composed of a helically orthotropic material. (The JC model, however, does not apply to all helically orthotropic materials, see Section 4.1.2.) We found that if a material is helically homogeneous then it is also cylindrically homogeneous. For this reason and by the definition of cylindrical homogeneity (see Section 3.2), each layer's  $C^e[\cdot]$  is a constant function. We denote the constant value of the  $n$ th layer's  $C^e[\cdot]$  as  $C_n^e$ <sup>5</sup>. We refer to  $C_n^e$  as the  $n$ th layer's cylindrical inverse stiffness matrix<sup>6</sup>.

As per our particularization of the JC model, which we will from here on refer to simply as the JC model, a spicule's bending stiffness is related to its layers' thicknesses and material properties as

$$\mathcal{K}_N[x] U[\mathbb{F}] U[\mathbb{E}]^2, \quad (4.1a)$$

where

$$x = \left( (r_{n-1}, r_n - r_{n-1}, C_n^e) \right)_{n=(1, \dots, N)}, \quad (4.1b)$$

and  $\mathbb{F}$  denotes the force vector space in our problem.

The function  $\mathcal{K}_N$ , which appears in (4.1), is defined as  $\mathcal{K}_N : (\mathbb{R}_{>0}, \mathbb{R}_{>0}, \mathcal{M}_{6 \times 6}(\mathbb{R}))^N \rightarrow \mathbb{R}$ ,

$$\mathcal{K}_N[x] := \sum_{n=1}^N \hat{k}[x_n]. \quad (4.2)$$

In (4.2), the function  $\hat{k}$  is defined as  $\hat{k} : \mathbb{R}_{>0} \times \mathbb{R}_{>0} \times \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}$ ,

$$\hat{k}[a, t, s] = \gamma[s] I[a, t, 2] + \sum_{i=1}^4 \alpha[s]_i K[a, t, s]_i I[a, t, m[s]_i], \quad (4.3a)$$

where  $I : \mathbb{R}_{>0} \times \mathbb{R}_{>0} \times \mathbb{R} \rightarrow \mathbb{R}$ ,

$$I[a, t, m] = \text{pow}(a, m+2) - \text{pow}(a+t, m+2). \quad (4.3b)$$

In (4.2) the argument “ $x_n$ ” is to be interpreted as “the  $n$ th component of  $x$ ”. In general, we use the notation that when  $y \in \mathcal{M}_{m \times n}(\mathbb{R})$ , then  $y_{i,j}$ , where  $1 \leq i \leq m$ ,  $1 \leq j \leq n$ , is the  $i-j$ th component of  $y$ .

The functions  $\alpha : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^4$ ,  $m : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^4$ , and  $\gamma : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}$ , which appear in (4.3a) are, respectively, defined in Appendix B.2.1, Appendix B.2.2, and Appendix B.2.3. The function  $K : \mathbb{R}_{>0} \times \mathbb{R}_{>0} \times \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathcal{M}_{4 \times 1}(\mathbb{R})$ , which appears in (4.3a), is defined as

$$K[a, t, s] = \text{Inv}[A] b, \quad (4.4a)$$

where  $A \in \mathcal{M}_{4 \times 4}(\mathbb{R})$ ,

$$A = \begin{pmatrix} \left( \text{pow}(a+t, \check{m}_i) \mid i \in (1, \dots, 4) \right), \\ \left( \text{pow}(a, \check{m}_i) \mid i \in (1, \dots, 4) \right), \\ \left( g_i \text{pow}(a+t, \check{m}_i) \mid i \in (1, \dots, 4) \right), \\ \left( g_i \text{pow}(a, \check{m}_i) \mid i \in (1, \dots, 4) \right) \end{pmatrix}, \quad (4.4b)$$

$$m_i = m[s]_i, \quad i \in (1, \dots, 4), \quad (4.4c)$$

$$g_i = g[s]_i, \quad i \in (1, \dots, 4), \quad (4.4d)$$

and  $b \in \mathcal{M}_{4 \times 1}(\mathbb{R})$ ,

$$b = (-\mu_1, -\mu_1, -\mu_2, -\mu_2), \quad (4.4e)$$

$$\mu_i = \mu[s]_i, \quad i \in (1, 2). \quad (4.4f)$$

<sup>5</sup> For clarification, we note that the components of  $C_n^e$  are referred to as the strain coefficients in the work by Lekhnitskii (1981).

<sup>6</sup> We have defined the cylindrical inverse stiffness matrix in Section 3.1. However, in order to get a more intuitive feel for the components of  $C_n^e$  imagine that we cut out a cube of material of infinitesimal size from the  $n$ th layer about the material particle  $\mathcal{X}$  such that the cube's normals are aligned with the cylindrical basis vectors at  $\mathcal{X}$ , i.e., with the  $\mathfrak{e}[\mathcal{X}]$  directions. Then if we were to conduct a uniaxial tensile test on that cube by pulling on its faces that were perpendicular to the  $\mathfrak{e}_1[\mathcal{X}]$  direction, then the Young's modulus we would measure in that test would be  $1/C_{n+1}^e U[\mathbb{F}]/U[\mathbb{E}]^2$ .

As per our notation,  $m[s]_i$  in (4.4c) denotes the  $i$ th component of  $m[s]$ , which belongs to  $\mathbb{R}^4$ . The symbols  $g[s]_i$ , and  $\mu[s]_i$  appearing, respectively, in (4.4d), and (4.4f) are to be interpreted similarly. As per the new notation we introduce in Appendix A.2, the symbol  $\check{m}_i$ , which appears in (4.4b), stands for the expression  $m_i - 2$ . The functions  $g : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^4$ , which appears in (4.4d), and  $\mu : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^2$ , which appears in (4.4f), are defined in Appendix B.3.1 and Appendix B.3.2, respectively.

4.1.2. Admissible material properties

Jolicoeur and Cardou state that their theory applies whenever a layer is composed of a helically orthotropic material. However, we found that the JC model, and consequently our particularization of it, does not apply to some special types of helically orthotropic materials.

In Section 3.2.1 we presented 12 material models, and in Section 3.2.2 these models were sorted into 9 categories. These 9 categories are listed as MM.I–IX in Section 3.2.2 with MM.I being the helically orthotropic material model. We further identified in Section 3.2.2 that MM.II–MM.VII are special cases of MM.I. Within these 7 categories of helically orthotropic material models, we found that the JC model is inapplicable when the layer is composed of an MM.VI (helically/cylindrically/Cartesian isotropic) material or an MM.VII (cylindrically/Cartesian transversely isotropic) material.

This restriction that the JC model cannot be applied to MM.VI and MM.VII materials arises as a consequence of the requirement that for the JC model to be well-posed the conditions

$$m_i \neq 0, \quad i = 1, 2, \tag{4.5a}$$

$$|m_1| \neq |m_2|, \tag{4.5b}$$

and

$$m_i \neq 2, \quad i = 1, 2 \tag{4.5c}$$

need to be satisfied. We refer to the conditions (4.5) as the  $m$ -conditions. It is necessary that  $(m_1, m_2)$  satisfy (4.5a) since otherwise the matrix  $A$  (see (4.4b)) would be singular. For the same reason  $(m_1, m_2)$  need to satisfy (4.5b). The parameters  $(m_1, m_2)$  need to satisfy (4.5c) since otherwise the  $2 \times 2$  matrix  $B_{..}$  in (B.8), which needs to be inverted to obtain  $\mu_i$ , will be singular (see Appendix D.2 for details).

It can be shown that (4.5c) is violated if a layer is composed of an MM.VI or an MM.VII material.

In summary, for the JC model and our particularization of it to apply, it is necessary that a layer’s material belongs to MM.I–MM.V. However, belonging to MM.I–MM.V may not be sufficient for the JC model to be applicable. Irrespective, we will only be considering those helically orthotropic materials for which the JC model is applicable.

4.2. Sufficient conditions for reduction of bending stiffness

Suppose an assembly consisting of layers with admissible material properties is subject to a bending moment and as a result attains a curvature of  $\kappa_1 U[\mathbb{E}]^{-1}$  in the  $\boldsymbol{\varphi}_1$  direction on the central axis. Now keeping the curvature constant, say we were to create a cylindrical cut in the  $n$ th layer at radius  $r_c \in (r_{n-1}, r_n)$ ; that is, make the shear components of the traction vector on the surface  $S_{r_c} = \{X \in \kappa_R[\mathcal{B}] \mid \check{r}[X] = r_c\}$  vanish by allowing the component of the displacement field parallel to  $S_{r_c}$  to be discontinuous across  $S_{r_c}$ . If creating such a cut reduces the bending moment on the assembly, then we say that the cut reduces the assembly’s bending stiffness. (A.1) We assume the assembly’s bending stiffness can be reduced by creating a cut at a cylindrical surface in the  $n$ th layer iff there exist shear stresses on that surface prior to the creation of the cut.

Let us denote the component in the  $\boldsymbol{e}_2$  direction of the traction vector on the surface element perpendicular to the  $\boldsymbol{e}_1$  direction and centered around  $X$  as  $\tau_{n-1,2}[X]$ . Similarly,  $\tau_{n-1,3}[X]$  denotes the component in the  $\boldsymbol{e}_3$  direction. It follows from the JC model that

$$\tau_{n-1,2}[X] = \kappa[X] f_n[\check{r}[X]], \tag{4.6a}$$

$$\tau_{n-1,3}[X] = \kappa[X] h_n[\check{r}[X]], \tag{4.6b}$$

where

$$\kappa[X] = (\kappa_x \cos[\check{\theta}[X]] + \kappa_y \sin[\check{\theta}[X]]), \quad \kappa_x, \kappa_y \in \mathbb{R}, \tag{4.6c}$$

$$f_n[r] = \left( - \sum_{i=1}^4 K_{n-i} \text{pow}(r, \check{m}_{n-i}) - \mu_{n-1} r \right), \tag{4.6d}$$

$$h_n[r] = \left( \sum_{i=1}^4 K_{n-i} g_{n-i} \text{pow}(r, \check{m}_{n-i}) + \mu_{n-2} r \right), \tag{4.6e}$$

$$K_{n-i} = K[r_{n-1}, r_n - r_{n-1}, C_n^e]_i, \tag{4.6f}$$

$$m_{n-i} = m[C_n^e]_i, \tag{4.6g}$$

$$\mu_{n-i} = \mu[C_n^e]_i. \tag{4.6h}$$

We found that when

$$C_{n-3,4}^e \neq 0 \vee C_{n-1,3}^e \neq C_{n-2,3}^e, \tag{4.7}$$

then for almost all  $r_c$  in  $(r_{n-1}, r_n)$  creating a cut would decrease the assembly’s bending stiffness. In (4.7), the symbol  $\vee$  is a logical “or” operator. The use of logical operators, such as  $\vee$  appearing in (4.7), is explained in Appendix A.5.

**Proposition 1.** In Appendix D.1 we show that if there exist three or more interior cylindrical surfaces within the  $n$ th layer such that  $\tau_{n-1,2}$  vanishes then that layer’s  $\mu_{n-i}$ ,  $i = 1, 2$  and  $K_{n-i}$ ,  $i = 1, \dots, 4$  are naught. (Proposition.2) It follows from (B.7) that when a layer’s  $\mu_i$ ,  $i = 1, 2$  vanish then  $C_{n-3,4}^e = 0 \wedge C_{n-1,3}^e = C_{n-2,3}^e$ . Taking Propositions 1 and 2 in conjunction implies that when (4.7) holds then there are at most two interior cylindrical surfaces within the  $n$ th layer where  $\tau_{n-1,2}$  vanishes. In other words, when (4.7) holds there is shear stress at almost all  $r$  in  $(r_{n-1}, r_n)$ . In conjunction with our assumption (A.1), this result implies that when (4.7) holds creating a cut of an arbitrary radius  $r$  in  $(r_{n-1}, r_n)$  would almost always lead to decrease in the assembly’s bending stiffness. We give other interpretations of our result in Section 5.

### 4.3. Sufficient conditions for there being no bending stiffness reduction with the introduction of a cylindrical cut

We also found that when

$$C_{n-3,4}^e = 0 \wedge C_{n-1,3}^e = C_{n-2,3}^e, \tag{4.8}$$

then the assembly’s bending stiffness cannot be reduced by creating a cylindrical cut in the  $n$ th layer. In (4.8), the symbol  $\wedge$  is a logical “and” operator. The proof of this result is as follows. The matrix  $B_{\cdot} [C_n^e]$ , where  $B_{\cdot} [\cdot]$  is defined in (B.8), is invertible. As we stated in Section 4.1.2, we only consider those helically orthotropic material properties for which the JC model remains applicable, and if  $B_{\cdot} [C_n^e]$  were not invertible then the JC model would be inapplicable. As a consequence of  $B_{\cdot} [C_n^e]$  being invertible, when (4.8) holds then it follows from (B.7) that  $\mu_{n-1} = 0$  and  $\mu_{n-2} = 0$ . In fact, when (4.8) holds in addition to  $\mu_{n-1}$ ,  $\mu_{n-2}$ , the constants  $K_{n-i}$  also vanish. This is a consequence of (4.4) and the matrix  $A$  in (4.4) being invertible. The invertibility of  $A$  is guaranteed for the same reason that was discussed for the invertibility of  $B_{\cdot} [C_n^e]$ . It follows from (4.6a)–(4.6e) that when  $K_{n-i}$  and  $\mu_{n-i}$  vanish then there are no shear stresses on any cylindrical surfaces within the  $n$ th layer. This result in conjunction with our assumption (A.1) implies that when (4.8) holds then the assembly’s bending stiffness cannot be reduced by creating a cylindrical cut anywhere in the  $n$ th layer.

### 4.4. Particularization to specific material models

The primary result of this paper is (4.7), which gives sufficiency conditions for when layering a cylindrical tube would decrease its bending stiffness. Recall that we stated in Section 4.1.2 that the JC model and our particularization of it only applies to  $MM.I-V$ . Therefore, the result (4.7) also strictly only applies to  $MM.I-V$ . In order to check the condition in (4.7) for a particular material we need to know that material’s  $C_n^e$ . However, the elastic properties of  $MM.I-V$  are usually reported in terms of the sets of elastic constants that we discussed in Section 3.2.1 and not in terms of  $C_n^e$ ’s components. For example, the elastic properties of a helically transversely isotropic material ( $MM.II$ ) are given in terms of  $E_p$ ,  $E_t$ ,  $\nu_p$ ,  $\nu_{pt}$ , and  $\mu_t$ . For that reason in the remainder of this section we will express (4.7) for each of the material models  $MM.I-V$  in terms of the set of elastic constants that we introduced for them in Section 3.2.1.

#### 4.4.1. (MM.I) Helically orthotropic material

Let the assembly’s  $n$ th layer be composed of a helically orthotropic material. The helical inverse stiffness matrix of a helically orthotropic material in terms of the elastic constants  $\hat{M}$  is the matrix given in (3.5), which we refer to as  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{f}_\varphi}$ . Using  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{f}_\varphi}$  and following the process detailed in Appendix C.2 we can obtain the cylindrical inverse stiffness matrix of a helically orthotropic material in terms of  $\hat{M}$ . We refer to that matrix as  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow e}$ . On substituting  $C_{n-i,j}^e$  in (4.7) with the  $i - j$  component of  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow e}$  and simplifying we get that the sufficient condition for a reduction in the assembly’s bending stiffness on introducing a cut in the  $n$ th layer is (4.9a) $\vee$ (4.9b), where (4.9a) and (4.9b) are, respectively,

$$\frac{2 \cos^2 \varphi \sin \varphi}{E_3} - \frac{2 \cos \varphi \sin^3 \varphi}{E_2} - \frac{1}{4} \left( \frac{1}{\mu_{23}} - \frac{2\nu_{23}}{E_2} \right) \sin 4\varphi \neq 0 \tag{4.9a}$$

and

$$-\frac{4\nu_{13} \cos^2 \varphi}{E_1} + \frac{\nu_{23}(3 + \cos 4\varphi)}{E_2} - \frac{4\nu_{12} \sin^2 \varphi}{E_1} - \left( \frac{1}{E_2} + \frac{1}{E_3} - \frac{1}{\mu_{23}} \right) \sin^2 2\varphi \neq 0. \tag{4.9b}$$

4.4.2. (MM.II) Helically transversely isotropic material

Let the assembly's  $n$ th layer be composed of a helically transversely isotropic material. The helical inverse stiffness matrix of a helically transversely isotropic material in terms of the elastic constants  $\hat{M}$  is the matrix given in (3.6), which we refer to as  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{f}_\varphi}$ . Using  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{f}_\varphi}$  and following the process detailed in Appendix C.2 we can obtain the cylindrical inverse stiffness matrix of a helically transversely isotropic material in terms of  $\hat{M}$ . We refer to that matrix as  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{e}}$ . Substituting  $C_{n,i,j}^{\mathcal{e}}$  in (4.7) with the  $i - j$  component of  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{e}}$  and simplifying we get that the sufficient condition for a reduction in the assembly's bending stiffness on introducing a cut in the  $n$ th layer is (4.10a)∨(4.10b), where (4.10a) and (4.10b) are, respectively,

$$\frac{2 \cos^3 \varphi \sin \varphi}{E_t} - \frac{2 \cos \varphi \sin^3 \varphi}{E_p} - \frac{1}{4} \left( \frac{1}{\mu_t} - \frac{2\nu_{pt}}{E_p} \right) \sin 4\varphi \neq 0 \tag{4.10a}$$

and

$$\frac{((-E_p E_t + (E_p + E_t)\mu_t) \cos^2 \varphi + E_t \mu_t (\nu_p + \nu_{pt} \cos 2\varphi)) \sin^2 \varphi}{E_p E_t \mu_t} \neq 0. \tag{4.10b}$$

4.4.3. (MM.III) Helically cubic material

Let the assembly's  $n$ th layer be composed of a helically cubic material. The helical inverse stiffness matrix of a helically cubic material in terms of the elastic constants  $\hat{M}$  is the matrix given in (3.7), which we refer to as  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{f}_\varphi}$ . Using  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{f}_\varphi}$  and following the process detailed in Appendix C.2 we can obtain the cylindrical inverse stiffness matrix of a helically cubic material in terms of  $\hat{M}$ . We refer to that matrix as  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{e}}$ . Substituting  $C_{n,i,j}^{\mathcal{e}}$  in (4.7) with the  $i - j$  component of  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{e}}$  and simplifying we get that the sufficient condition for a reduction in the assembly's bending stiffness on introducing a cut in the  $n$ th layer is (4.11a)∨(4.11b), where (4.11a) and (4.11b) are, respectively,

$$\left( \frac{2}{E_c} - \frac{1}{\mu_c} + \frac{2\nu_c}{E_c} \right) \sin(4\varphi) \neq 0, \tag{4.11a}$$

and

$$\left( \frac{2}{E_c} - \frac{1}{\mu_c} + \frac{2\nu_c}{E_c} \right) \cos^2 \varphi \sin^2 \varphi \neq 0. \tag{4.11b}$$

4.4.4. (MM.IV) Cylindrically orthotropic material

Let the assembly's  $n$ th layer be composed of a cylindrically orthotropic material. The cylindrical inverse stiffness matrix of a cylindrically orthotropic material in terms of the elastic constants  $\hat{M}$  is the matrix given in (3.5), which we refer to as  $C^{\hat{M}(\mathcal{e}) \rightarrow \mathcal{e}}$ . Substituting  $C_{n,i,j}^{\mathcal{e}}$  in (4.7) with the  $i - j$  component of  $C^{\hat{M}(\mathcal{e}) \rightarrow \mathcal{e}}$ , we get that the sufficient condition for a reduction in the assembly's bending stiffness is  $0 \neq 0$ ∨(4.12), where (4.12) is given by

$$\frac{\nu_{13}}{E_1} \neq \frac{\nu_{23}}{E_2}. \tag{4.12}$$

Since of course the condition  $0 \neq 0$  can never be satisfied, in the case of cylindrically orthotropic materials the sufficient condition (4.7) reduces to (4.12).

4.4.5. (MM.V) Cylindrically cubic material

The condition (4.7) is never satisfied. We arrive at this result in the following manner. Let the assembly's  $n$ th layer be composed of a cylindrically cubic material. The cylindrical inverse stiffness matrix of a cylindrically cubic material in terms of the elastic constants  $\hat{M}$  is the matrix given in (3.7), which we refer to as  $C^{\hat{M}(\mathcal{e}) \rightarrow \mathcal{e}}$ . Substituting  $C_{n,i,j}^{\mathcal{e}}$  in (4.7) with the  $i - j$  component of  $C^{\hat{M}(\mathcal{e}) \rightarrow \mathcal{e}}$  we get that the sufficient condition for a reduction in the assembly's bending stiffness on introducing a cut in the  $n$ th layer is  $0 \neq 0$ ∨ $-\frac{\nu_c}{E_c} \neq -\frac{\nu_c}{E_c}$ , which, of course, can never be satisfied.

That (4.7) is never true is equivalent to saying that  $C_{n,3,4}^{\mathcal{e}} = 0 \wedge C_{n,1,3}^{\mathcal{e}} = C_{n,2,3}^{\mathcal{e}}$ . As discussed in Section 4.3, this implies that for the case of a layer composed of a cylindrically cubic material there will be no reduction in bending stiffness on introducing a cut in that layer.

5. Discussion

Using the equations given in Section 4.1.1, we numerically computed the bending stiffnesses of several artificial assemblies that contained different number of layers and different material models. We checked the theoretical results that we presented in Sections 4.2 and 4.3 by comparing the bending stiffnesses of two assemblies, where one of them could be considered to have been obtained by introducing a cylindrical cut in one of the layers of the other assembly. In all our comparisons we found the bending stiffnesses of the two assemblies to be consistent with the results we presented in Sections 4.2 and 4.3. We discuss a few of the representative calculations that we undertook to check our results in Section 5.1.

To be consistent with the result presented in Section 4.2 it is only required that when (4.7) is satisfied in a layer the assembly's bending stiffness decrease for “almost all” choices of the cylindrical cut's radius in that layer. A more concrete interpretation of

our result in Section 4.2 is that when a layer satisfies (4.7) and if the bending stiffness of the assembly does not decrease with the first or the second choice of the cylindrical surface to make the cut in the layer, then it must decrease with the third choice for the cylindrical cut in the layer. Alternatively, our result in Section 4.2 can also be interpreted to mean that if an assembly's bending stiffness does not decrease with the introduction of first two cylindrical cuts in a layer that satisfies (4.7), then the bending stiffness is guaranteed to decrease with the introduction of an additional third cut. A final interpretation can be that creating three simultaneous cylindrical cuts in a layer satisfying (4.7) guarantees a decrease in the assembly's bending stiffness. In all our numerical calculations we found that the bending stiffness decreased with our very first choice for the cylindrical surface to make the cut.

To be consistent with the result presented in Section 4.3 it is only required that when the elastic constants of a layer satisfy the condition (4.8) then introducing a cylindrical cut in that layer does not reduce the assembly's bending stiffness. In all our calculations we found this, in fact, to be the case.

### 5.1. Bending stiffness reduction

Fig. 7 shows the bending stiffnesses of assemblies in which all the layers are composed of the same helically orthotropic material. The bending stiffnesses of assemblies composed of  $\text{MM.I-V}$  are, respectively, shown in subfigures (B)–(F).

In all the assemblies the inner and out radii,  $r_0$  and  $r_N$ , were taken to be  $1 U [\mathbb{E}]$  and  $2 U [\mathbb{E}]$ , respectively. We created the geometry of an assembly of  $N + 1$  layers by taking an assembly containing  $N$  layers and cutting its  $k$ th layer into two layers of equal thickness, where

$$k = 2 (N - \text{pow}(2, \lfloor \log_2 [N] \rfloor)) + 1, \quad (5.1)$$

and  $\lfloor \cdot \rfloor$  is the floor function. As a consequence of creating each assembly's geometry in this fashion, the assembly containing  $N$  layers can always be thought of as having been created by introducing a cylindrical cut in an assembly containing  $N - 1$  layers (see Fig. 7(A)). Therefore the validity of the results presented in Sections 4.2 and 4.3 can be checked by directly comparing the bending stiffnesses of the  $N$  and  $N - 1$  layer assemblies.

In the subfigures of Fig. 7 each point corresponds to a different assembly, with the abscissa and ordinate of the point providing the assembly's number of layers,  $N$ , and the assembly's normalized bending stiffness, respectively. The normalized bending stiffness of an assembly is the quantity  $\mathcal{K}_N [x] / \mathcal{K}_1 [x]$ , where, recall that,  $\mathcal{K}_N [x]$  is the  $N$ -layer-assembly's non-dimensional bending stiffness and  $x$  encapsulates the assembly's internal geometry and material property information (see (4.1b)).

Recall that the subfigures (B)–(F) correspond to the material models  $\text{MM.I-V}$ , respectively. Leaving out  $\text{MM.V}$  for each material model we consider two sets of elastic constants: one for which (4.7) is satisfied, and the other for which (4.8) is satisfied. For  $\text{MM.V}$  we consider two sets of elastic constants both of which satisfy (4.8), since the elastic constants for this material model can never satisfy (4.7).

In each subfigure, the results for the set of elastic constants for which (4.7) is satisfied are shown in blue, whereas the results for the set of elastic constants for which (4.8) is satisfied are shown in red. For each material model we give the values for the two sets of elastic constants used in the corresponding subfigure. The elastic constants that we use for each material model are the ones that we introduced for them in Section 3.2. Calculation of  $\mathcal{K}_N [x]$  requires calculating the  $C_n^e$  from the given elastic constant values. The procedure for doing so is detailed in the caption of Fig. 7.

From the relative position of the blue points in each subplot it can be noted that when (4.7) was satisfied the creation of a cut has always led to a decrease in the bending stiffness. This observation is consistent with the theory presented in Section 4.2. For an elaboration on some of the different ways of interpreting the result presented in Section 4.2 see the preamble of Section 5.

From the relative position of the red points in each subplot it can be noted that when (4.8) was satisfied the creation of a cut never led to a decrease in the bending stiffness. This observation is in perfect accordance with the result we presented in Section 4.3, where we stated that when (4.8) is satisfied then the introduction of a cut should not lead to a reduction in bending stiffness.

## 6. Concluding remarks

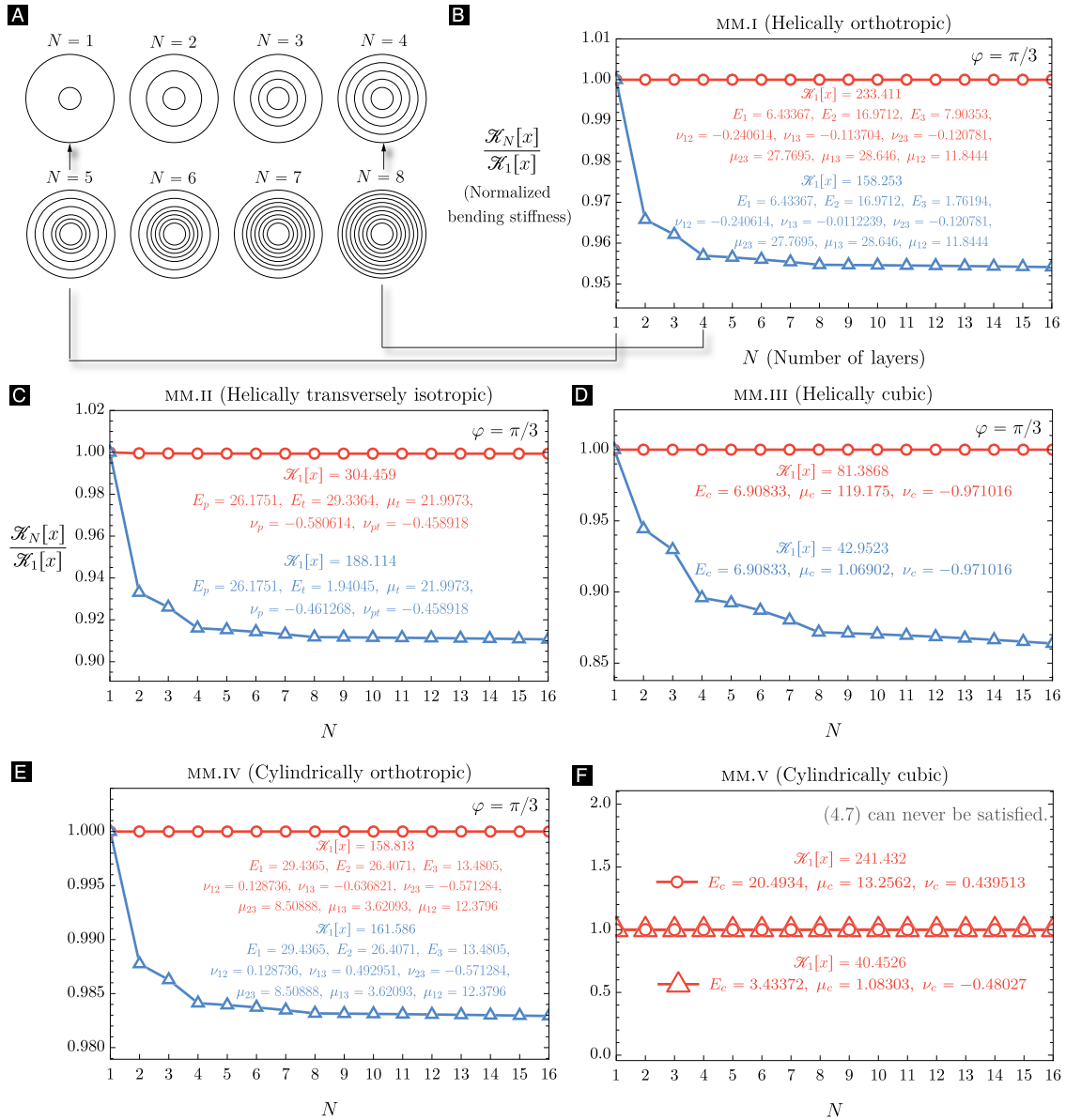
In this section we collect and list the most pertinent assumptions in our work, so that it is easier to ascertain the validity of our arguments and results in the future.

(A) By focusing on the LCC in the looped configuration we are assuming that most spicules function in the looped configuration within the ocean sediment, rather than in a straight configuration. Future experimental studies, such as that using X-ray computed tomography, would be useful for establishing the validity of this assumption.

(B) We stated that the spicule's LCC in a looped configuration inversely depends on its effective bending stiffness. This statement is not strictly an assumption, since it is based on our study of a nonlinear, small strains and large rotations, beam model for the spicule. We list it here since it is a critical part of our arguments in support of the LCC hypothesis.

(C) In formulating our structural mechanics model for the spicule we assumed that the spicule's silica layers freely slip with respect to each other. We based this assumption on AFM experiments (Weaver et al., 2007) that reveal that the protein interlayers are highly compliant compared to the silica layers. However, a more direct measurement of the shear stresses being transmitted between the silica layers would help ascertain the validity of this assumption.

(D) Our deductions in Sections 4.2 and 4.3 are based on the key assumption that the assembly's bending stiffness can be reduced by creating a cut at a cylindrical surface iff there exist shear stresses on that surface prior to the creation of the cut. In small strain



**Fig. 7.** Normalized bending stiffness,  $\mathcal{K}_N[x]/\mathcal{K}_1[x]$ , as a function of number of layers,  $N$ . Subfigures (B)–(F) correspond to the material models MM.I–MM.V in this order. We computed the quantity  $\mathcal{K}_N[x]$  using (4.4). For constructing the argument  $x$  we need the information about the assembly’s layers’ radii, and each layer’s cylindrical inverse stiffness matrix,  $C^e$ . Each  $N$ -layer-assembly has inner and outer radii of  $1 U$  [E] and  $2 U$  [E], respectively, and can be thought of as having been obtained by taking an assembly containing  $N - 1$  layers and creating a new cylindrical cut in the manner described in Section 5.1 (see subfigure (A) for illustration). For layers consisting of MM.I or MM.IV we prescribe their elasticity by prescribing numerical values for the elastic constants  $\dot{M}$ ; for layers consisting of MM.II by prescribing numerical values for the elastic constants  $\bar{M}$ ; and for layers consisting of MM.III or MM.V by prescribing numerical values for  $\dot{M}$  (cf. Section 3.2). We consider two sets of elastic constants for each material model, and their corresponding results are shown using circular and triangular markers, respectively; the red color corresponds to the case where the elastic constants satisfy (4.8), while the blue color corresponds to the case where the elastic constants satisfy (4.7). We generated the numerical values for the elastic constants randomly under the constraint that all the corresponding stiffness matrices be positive definite. We list the selected numerical values for the elastic constants for the material models MM.I–V in their corresponding subfigures, i.e., in subfigures (B)–(F), respectively. We selected the value of  $\pi/3$  for the helical angle in the material models MM.I–MM.III. Since we create an  $N + 1$ -layer-assembly by creating a cut in an  $N$ -layer-assembly, all layers in an assembly (in fact all layers from all the assemblies that correspond to the same set of elastic constants in a subfigure) have the same cylindrical inverse stiffness matrix. For the material model MM.IV (resp. MM.V) we computed the cylindrical inverse stiffness matrix using the prescribed numerical  $\dot{M}$  (resp.  $\bar{M}$ ) values and (3.5) (resp. (3.7)). For the material model MM.I (resp. MM.II, MM.III) we first computed the helical inverse stiffness matrix  $C^{M(f_\varphi) \rightarrow f_\varphi}$  (resp.  $C^{M(f_\varphi) \rightarrow f_\varphi}$ ,  $C^{M(f_\varphi) \rightarrow f_\varphi}$ ) using the prescribed numerical  $\dot{M}$  (resp.  $\bar{M}$ ,  $\bar{M}$ ) values and (3.5) (resp. (3.6), (3.7)), and from that obtained the cylindrical inverse stiffness matrix  $C^{M(f_\varphi) \rightarrow e}$  (resp.  $C^{M(f_\varphi) \rightarrow e}$ ,  $C^{M(f_\varphi) \rightarrow e}$ ) using the procedure described in Appendix C.2.

and small rotation beam theories this assumption can be checked to be true. However, it needs to be checked for the case of large rotations which the spicules, quite clearly, undergo during their operation.

(E) When we state that our work shows that it is possible for the layers in the spicule to reduce its bending stiffness, we are implicitly assuming that it is possible for the spicules to possess the anisotropic elasticity characteristics that make such a reduction possible. It certainly is not unreasonable to make such an assumption, since the anisotropic elasticity of the spicules has not been fully characterized and nothing from the growth and formation processes of the spicules precludes the possibility that the spicules possess the necessary anisotropic elasticity characteristics. Nevertheless, our results also do not preclude the possibility that the layers do not contribute to the spicule's LCC in the manner we discussed in this paper. It is possible that their anisotropic elasticity characteristics do not allow our theory to be applicable (e.g., their elasticity may not be that of a helically orthotropic material). If the spicule's anisotropic elasticity characteristics allow our theory to be applied, then it is possible that their elastic constants satisfy (4.8) (rather than (4.7)), thus essentially guaranteeing that the spicule's layers do not contribute to its bending stiffness and therefore do not contribute to the spicule's LCC in the manner we suggest in this paper, if at all. Therefore, the primary value of our results is in that they make a precise and direct check of the LCC hypothesis possible once the spicule's anisotropic elasticity becomes better characterized.

### CRedit authorship contribution statement

**Sayaka Kochiyama:** Carried out the research, Wrote the paper, Discussed the results. **Benjamin E. Grossman-Ponemon:** Helped with the some of the mathematical proofs, Discussed the results. **Haneesh Kesari:** Supported the research, Wrote the paper, Discussed the results, Designed the research.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

No data was used for the research described in the article.

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### Appendix A. Notation

We denote the set of real numbers as  $\mathbb{R}$ , the set of non-negative real numbers as  $\mathbb{R}_{\geq 0}$ , the set of positive real numbers as  $\mathbb{R}_{> 0}$ , the set of integers as  $\mathbb{Z}$ , and the set of natural numbers as  $\mathbb{Z}_{\geq 1}$ .

The notation discussed in the previous paragraph is quite standard. We have attempted to restrict ourselves to only using standard notation. However, in order to simplify some of the most cumbersome looking expressions, we decided to introduce and use some new notation. We introduce this new notation in [Appendices A.1, A.2, and A.3](#).

#### A.1. Non-dimensional and dimensional quantities

All symbols in which the base symbol is a regular typeface Latin character represent non-dimensional variables or numbers.

For example, we may denote a real number as  $x$ , and a collection of  $n \in \mathbb{Z}_{\geq 1}$  real numbers as  $x_1, x_2, \dots, x_n$ . The typefaces of superscripts and subscripts do not in generally carry any special meaning. For example,  $x_a$ ,  $x_a$ , and  $x^a$  all denote non-dimensional quantities.

Symbols in which the base symbol is a script typeface Latin character denote dimensional scalar quantities. For example, the isotropic Young's modulus is denoted as  $\mathcal{E}$ . Say  $\mathcal{E} = E \text{ N/m}^2$ , where  $E \in \mathbb{R}_{> 0}$ , then, when there is no confusion, we also refer to  $E$  as the isotropic Young's modulus.



A.2. Increment, decrement, and exponentiation operations

Let  $x \in \mathbb{R}$ . We denote the expressions  $x + 1$  and  $x + 2$  sometimes as  $\hat{x}$  and  $\hat{\hat{x}}$ , respectively. Similarly, we denote the expressions  $x - 1$  and  $x - 2$  sometimes as  $\check{x}$  and  $\check{\check{x}}$ , respectively. Each circumflex ( $\hat{\cdot}$ ) over a (base) symbol denotes an increment operation on the quantity denoted by that symbol along with any of its superscripts and subscripts, and similarly each overhead caron ( $\check{\cdot}$ ) denotes a decrement operation. That is,  $\hat{m}_1$  denotes the expression  $m_1 + 1$  and not  $(m + 1)_1$ . We stress that this notation only applies when the quantity denoted by the base symbol along with any of its superscripts and subscripts is a real valued variable or a real valued constant.

We mostly reserve superscripts for new symbols, rather than exponentiation. Instead, we write  $\text{pow}(x, a)$  to refer to the  $a^{\text{th}}$  power of  $x$ , where  $x, a \in \mathbb{R}$ . For example,  $\text{pow}(x, 2)$  is the square of  $x$ .

We denote  $x^2$ , the square of  $x$ , as  $\text{pow}(x, 2)$ , and in general  $x^a$ , where  $a \in \mathbb{R}$ , as  $\text{pow}(x, a)$ .

A.3. Denoting ordered sets

We denote un-ordered sets using braces  $\{\cdot, \dots, \cdot\}$ , and ordered sets using parentheses  $(\cdot, \dots, \cdot)$ . We use square brackets to identify a function's arguments, like in  $f[\cdot]$ , where  $f$  is some generic function. When the argument to a function is a single ordered set, say  $(\cdot, \dots, \cdot)$ , then instead of writing  $f[(\cdot, \dots, \cdot)]$  we simply write  $f[\cdot, \dots, \cdot]$ .

Say  $X = (x_1, \dots, x_n)$ , where  $n \in \mathbb{Z}_{\geq 1}$ . The  $i$ th element (component) of the ordered set  $X$  is referred to as  $(X)_i$  or  $X_i$ . The  $j$ th component of  $X_i$  is written as either  $X_{i,j}$  or, when we want to be more explicit, as  $(X_i)_j$  or  $((X)_i)_j$ . We will abbreviate ordered sets such as  $(x_1, x_2, \dots, x_n)$  and  $(x_1, x_2, \dots, x_n)$  as  $(x_i)_{i \in (1, \dots, n)}$  and  $(x_i)_{i \in (1, \dots, n)}$ , respectively. We will abbreviate nested ordered sets such as

$$\left( (x_{ij})_{j \in (1, \dots, m)} \right)_{i \in (1, \dots, n)}, \tag{A.1}$$

where  $m \in \mathbb{Z}_{\geq 1}$ , as

$$(x_{ij})_{i \in (1, \dots, n), j \in (1, \dots, m)}, \tag{A.2}$$

and when  $m = n$  as

$$(x_{ij})_{i, j \in (1, \dots, n)}.$$

We consider a matrix to be an ordered set of elements where all the elements are ordered sets of the same cardinality. Specially, we denote the ordered set containing  $m \in \mathbb{Z}_{\geq 1}$  elements where each element is an ordered set containing  $n \in \mathbb{Z}_{\geq 1}$  real numbers as  $\mathcal{M}_{m \times n}(\mathbb{R})$ .

A.4. Voigt notation

The function  $\text{voi} : (1, 2, 3) \times (1, 2, 3) \rightarrow (1, \dots, 6)$  is defined as

$$\text{voi}[i, j] = \begin{cases} i, & i = j, \\ 4, & (i, j) = (2, 3) \text{ or } (3, 2), \\ 5, & (i, j) = (1, 3) \text{ or } (3, 1), \\ 6, & (i, j) = (1, 2) \text{ or } (2, 1). \end{cases} \tag{A.3}$$

The function  $\text{voi}^{-1} : (1, \dots, 6) \rightarrow (1, 2, 3) \times (1, 2, 3)$  is defined as

$$\text{voi}^{-1}[I] = \begin{cases} (I, I), & I \leq 3, \\ (2, 3), & I = 4, \\ (1, 3), & I = 5, \\ (1, 2), & I = 6. \end{cases} \tag{A.4}$$

A.5. Logical operators

We follow the standard notations and use the symbol  $\wedge$  to indicate logical “and”, i.e., if we say that  $A \wedge B$  is true then we mean that both  $A$  and  $B$  are true. Similarly, we use the symbol  $\vee$  to denote logical inclusive “or”, i.e., if we say that  $A \vee B$  is true then we mean that one of the following three cases is true: (i)  $A$  is true and  $B$  is not true, (ii)  $A$  is not true and  $B$  is true, and (iii) both  $A$  and  $B$  are true.

## Appendix B. Definitions of various material and micro-architecture dependent constants

### B.1. Definition of $\beta[\cdot]$

The function  $\beta : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathcal{M}_{6 \times 6}(\mathbb{R})$  is defined as

$$\beta[s] = \left( s_{i,j} - \frac{s_{i,3}s_{3,j}}{s_{3,3}} \right)_{i,j \in \{1, \dots, 6\}}. \quad (\text{B.1})$$

### B.2. Definitions of $\alpha[\cdot]$ , $m[\cdot]$ , and $\gamma[\cdot]$

#### B.2.1. Definition of $\alpha[\cdot]$

The function  $\alpha[\cdot]$  is defined as  $\alpha : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^4$ ,

$$\alpha[s]_i = \frac{\pi}{(m[s]_i + 2) s_{3,3}} (s_{1,3} + (m[s]_i + 1) s_{2,3} - s_{3,4} g[s]_i m[s]_i), \quad (\text{B.2})$$

where  $i \in \{1, \dots, 4\}$ . The functions  $m[\cdot]$ , and  $g[\cdot]$ , which appear in (B.2), are, respectively, defined in [Appendices B.2.2](#), and [B.3.1](#).

#### B.2.2. Definition of $m[\cdot]$

The function  $m : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^4$  is defined as<sup>7</sup>

$$m[s]_{,1} = \sqrt{\frac{-h[s] + \sqrt{\text{pow}(h[s], 2) - 4d[s] l[s]}}{2d[s]}}, \quad (\text{B.3a})$$

where note that, as per the notation we introduced in [Section 4.1](#),  $\text{pow}(h[s], 2)$  denotes the square of  $h[s]$ ,

$$m[s]_{,2} = \sqrt{\frac{-h[s] - \sqrt{\text{pow}(h[s], 2) - 4d[s] l[s]}}{2d[s]}}, \quad (\text{B.3b})$$

$$m[s]_{,3} = -m[s]_{,1}, \quad (\text{B.3c})$$

and

$$m[s]_{,4} = -m[s]_{,2}, \quad (\text{B.3d})$$

where

$$d[s] := \beta[s]_{,2,2} \beta[s]_{,4,4} - \text{pow}(\beta[s]_{,2,4}, 2), \quad (\text{B.4a})$$

$$h[s] := \beta[s]_{,2,4} (2\beta[s]_{,1,4} + \beta[s]_{,2,4} + 2\beta[s]_{,5,6}) + \text{pow}(\beta[s]_{,1,4}, 2) - \beta[s]_{,4,4} (\beta[s]_{,1,1} + 2\beta[s]_{,1,2} + \beta[s]_{,2,2} + \beta[s]_{,6,6}) - \beta[s]_{,2,2} \beta[s]_{,5,5}, \quad (\text{B.4b})$$

and

$$l[s] := \beta[s]_{,5,5} (\beta[s]_{,1,1} + 2\beta[s]_{,1,2} + \beta[s]_{,2,2} + \beta[s]_{,6,6}) - \text{pow}(\beta[s]_{,5,6}, 2). \quad (\text{B.4c})$$

The function  $\beta$ , which appear in (B.4), is defined in [Appendix B.1](#).

#### B.2.3. Definition of $\gamma[\cdot]$

The function  $\gamma[\cdot] : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}$  is defined as

$$\gamma[s] = \frac{\pi}{4s_{3,3}} (\mu[s]_{,1} (s_{1,3} + 3s_{2,3}) - 2\mu[s]_{,2} s_{3,4} - 1), \quad (\text{B.5})$$

where  $\mu[\cdot]$  is defined in [Appendix B.3.2](#).

### B.3. Definitions of $g[\cdot]$ and $\mu[\cdot]$

#### B.3.1. Definition of $g[\cdot]$

The function  $g[\cdot]$  is defined as  $g : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^4$ ,

$$g[s]_i = \frac{\beta[s]_{,2,4} \text{pow}(m[s]_i, 2) + (\beta[s]_{,1,4} + \beta[s]_{,2,4}) m[s]_i - \beta[s]_{,5,6}}{\beta[s]_{,4,4} \text{pow}(m[s]_i, 2) - \beta[s]_{,5,5}}, \quad (\text{B.6})$$

where  $i \in \{1, \dots, 4\}$ . The functions  $\beta[\cdot]$  and  $m[\cdot]$ , which appear in (B.6), are defined in [Appendix B.1](#) and [Appendix B.2.2](#), respectively.

<sup>7</sup> That  $m[\cdot]$  is a real-valued function is an assumption. We take this to be a reasonable assumption based on the observation that in all the cases that we have tested numerically,  $m[\cdot]$  has yielded real numbers.

**B.3.2. Definition of  $\mu [\cdot]$**

The function  $\mu [\cdot]$  is defined as  $\mu : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathbb{R}^2$ ,

$$\mu [s] = \frac{1}{s_{3,3}} \text{Inv} [B_{..} [s]] \begin{bmatrix} 2s_{3,4} \\ s_{1,3} - s_{2,3} \end{bmatrix}. \tag{B.7}$$

The function  $\beta [\cdot]$ , which appears in (B.7), is defined in Appendix B.1. The function  $B_{..} [s]$  is defined as  $B_{..} : \mathcal{M}_{6 \times 6}(\mathbb{R}) \rightarrow \mathcal{M}_{4 \times 4}(\mathbb{R})$ ,

$$B_{..} [s] = \begin{bmatrix} B_{1,1} [s] & B_{1,2} [s] \\ B_{2,1} [s] & B_{2,2} [s] \end{bmatrix}, \tag{B.8a}$$

where its components are

$$B_{1,1} [s] = -2\beta [s]_{1,4} - 6\beta [s]_{2,4} + \beta [s]_{5,6}, \tag{B.8b}$$

$$B_{1,2} [s] = 4\beta [s]_{4,4} - \beta [s]_{5,5}, \tag{B.8c}$$

$$B_{2,1} [s] = -\beta [s]_{1,1} - 2\beta [s]_{1,2} + 3\beta [s]_{2,2} - \beta [s]_{6,6}, \tag{B.8d}$$

$$B_{2,2} [s] = 2\beta [s]_{1,4} - 2\beta [s]_{2,4} + \beta [s]_{5,6}. \tag{B.8e}$$

**Appendix C. Relationship between elastic tensors**

**C.1. Link between tensor/matrix components and those in different basis**

A fourth order stiffness tensor component in one basis ( $\mathcal{G} [x]$ ) is related to that in another basis ( $\mathcal{H} [x]$ ) as

$$c_{((i,j),(k,l))}^{\mathcal{G}} [x] = \sum_{p,q,r,s \in (1,2,3)} Q_{\cdot p i}^{\mathcal{G} \rightarrow \mathcal{H}} Q_{\cdot q j}^{\mathcal{G} \rightarrow \mathcal{H}} Q_{\cdot r k}^{\mathcal{G} \rightarrow \mathcal{H}} Q_{\cdot s l}^{\mathcal{G} \rightarrow \mathcal{H}} c_{((p,q),(r,s))}^{\mathcal{H}} [x], \tag{C.1a}$$

$$c_{((i,j),(k,l))}^{\mathcal{H}} [x] = \sum_{p,q,r,s \in (1,2,3)} Q_{\cdot p i}^{\mathcal{H} \rightarrow \mathcal{G}} Q_{\cdot q j}^{\mathcal{H} \rightarrow \mathcal{G}} Q_{\cdot r k}^{\mathcal{H} \rightarrow \mathcal{G}} Q_{\cdot s l}^{\mathcal{H} \rightarrow \mathcal{G}} c_{((p,q),(r,s))}^{\mathcal{G}} [x], \tag{C.1b}$$

where  $Q_{\cdot \cdot}^{\mathcal{G} \rightarrow \mathcal{H}}$  maps  $\mathcal{G} [x]$  to  $\mathcal{H} [x]$  as

$$\mathcal{H}_{\cdot j} [x] = \sum_{j \in (1,2,3)} Q_{\cdot i j}^{\mathcal{G} \rightarrow \mathcal{H}} \mathcal{G}_{\cdot j} [x], \tag{C.2}$$

and  $Q_{\cdot \cdot}^{\mathcal{H} \rightarrow \mathcal{G}}$ , which maps  $\mathcal{H} [x]$  to  $\mathcal{G} [x]$ , is given by

$$Q_{\cdot \cdot}^{\mathcal{H} \rightarrow \mathcal{G}} = \text{Inv} [Q_{\cdot \cdot}^{\mathcal{G} \rightarrow \mathcal{H}}]. \tag{C.3}$$

Similarly, a fourth order compliance tensor component in one basis ( $\mathcal{G}$ ) is related to that in another basis ( $\mathcal{H}$ ) as

$$s_{((i,j),(k,l))}^{\mathcal{G}} [x] = \sum_{p,q,r,s \in (1,2,3)} Q_{\cdot p i}^{\mathcal{G} \rightarrow \mathcal{H}} Q_{\cdot q j}^{\mathcal{G} \rightarrow \mathcal{H}} Q_{\cdot r k}^{\mathcal{G} \rightarrow \mathcal{H}} Q_{\cdot s l}^{\mathcal{G} \rightarrow \mathcal{H}} s_{((p,q),(r,s))}^{\mathcal{H}} [x], \tag{C.4a}$$

$$s_{((i,j),(k,l))}^{\mathcal{H}} [x] = \sum_{p,q,r,s \in (1,2,3)} Q_{\cdot p i}^{\mathcal{H} \rightarrow \mathcal{G}} Q_{\cdot q j}^{\mathcal{H} \rightarrow \mathcal{G}} Q_{\cdot r k}^{\mathcal{H} \rightarrow \mathcal{G}} Q_{\cdot s l}^{\mathcal{H} \rightarrow \mathcal{G}} s_{((p,q),(r,s))}^{\mathcal{G}} [x]. \tag{C.4b}$$

**C.2. Obtaining  $C^{M(\mathcal{G}) \rightarrow \mathcal{e}}$  from  $C^{M(\mathcal{G}) \rightarrow \mathcal{G}}$**

In this section we employ the following notation. We use the symbols  $\hat{M}$ ,  $\bar{M}$ ,  $\tilde{M}$ ,  $\bar{M}$  in the superscript to refer to the properties of a orthotropic, transversely isotropic, cubic, and isotropic material respectively. We use the symbol  $\hat{M}(\mathcal{g})$  in the superscript of a quantity to denote that quantity corresponds to a general  $\mathcal{g}$ -orthotropic material. That is, the  $\mathcal{g}$  in  $\hat{M}(\mathcal{g})$  can be  $\mathcal{e}$ ,  $\mathcal{f}_\varphi$ , or  $\mathcal{x}$ . Similarly, the symbols  $\bar{M}(\mathcal{g})$ ,  $\tilde{M}(\mathcal{g})$ , and  $\bar{M}(\mathcal{g})$  in a quantity's superscript denote that quantity respectively correspond to a  $\mathcal{g}$ -transversely-isotropic,  $\mathcal{g}$ -cubic, and  $\mathcal{g}$ -isotropic material. For example,  $c^{\hat{M}(\mathcal{x})} [x]$  is the elastic stiffness tensor of a Cartesian orthotropic material at the material particle  $x$ . A superscript of  $M(\mathcal{G})$  denotes an arbitrary material of the type we considered in Section 3.2. That is the  $M$  in  $M(\mathcal{G})$  can stand for  $\hat{M}$ ,  $\tilde{M}$ ,  $\bar{M}$ , or  $\bar{M}$ . The components of the stiffness and compliance tensors can be expressed w.r.t different bases. Say that the components are expressed w.r.t the  $\mathcal{e} [x]$  basis then we encapsulate that information by appending the superscript of the components, and the superscripts of collections of those components, with the symbol  $\rightarrow \mathcal{e}$ . For example,  $c_{((i,j),(k,l))}^{\hat{M}(\mathcal{x}) \rightarrow \mathcal{e}}$  denote the components of  $c^{\hat{M}(\mathcal{x})} [x]$  w.r.t the  $\mathcal{e} [x]$  basis, and  $C^{\hat{M}(\mathcal{f}_\varphi) \rightarrow \mathcal{e}}$  denotes the inverse stiffness matrix of a helically transversely isotropic material w.r.t the  $\mathcal{e} [x]$  basis.

Consider an inverse stiffness matrix  $C^{M(\mathcal{G}) \rightarrow \mathcal{G}}$ . The matrix  $C^{M(\mathcal{G}) \rightarrow \mathcal{e}}$  can be obtained from  $C^{M(\mathcal{G}) \rightarrow \mathcal{G}}$  by carrying out the following five steps. (This procedure is also illustrated in Fig. 8.)

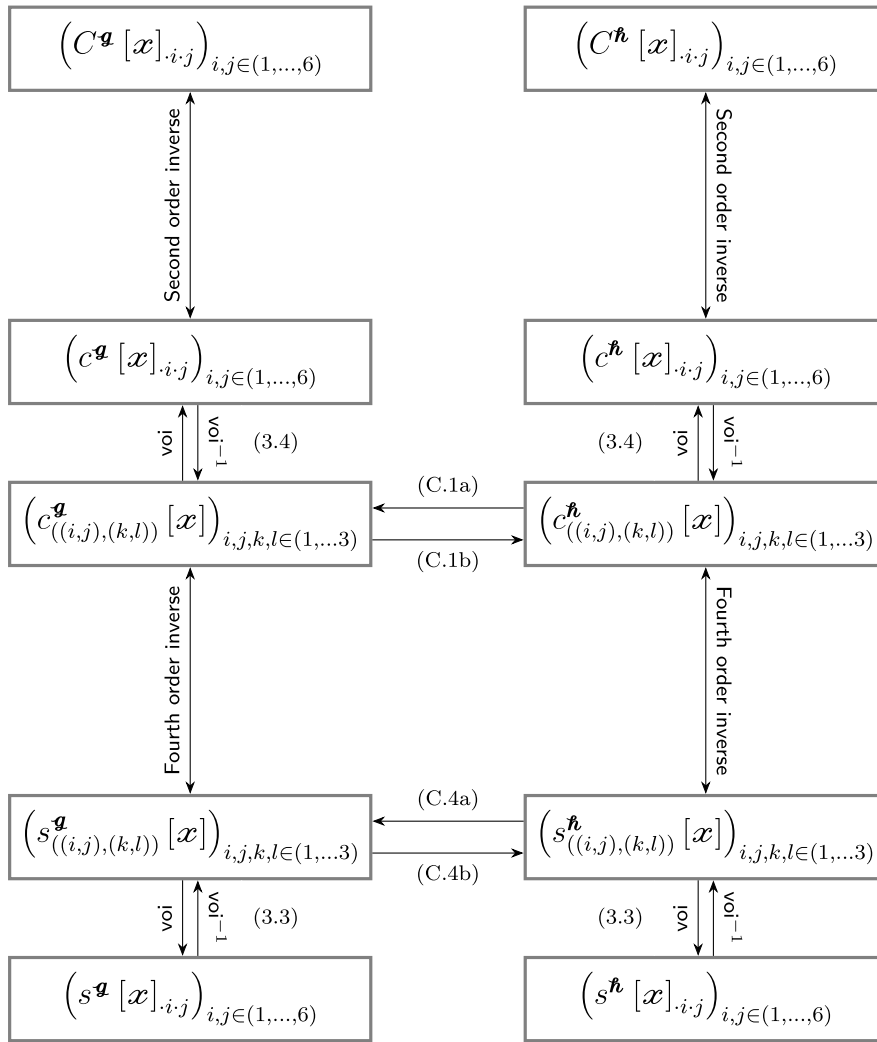


Fig. 8. Procedure for obtaining stiffness, inverse stiffness, and compliance tensors from one another, and also from those in different basis.

1. Invert the  $6 \times 6$  matrix  $C^{M(\varrho) \rightarrow \varrho}$  to obtain  $c^{M(\varrho) \rightarrow \varrho}$ .
2. Using  $c^{M(\varrho) \rightarrow \varrho}$  and (3.4) determine  $c_{((i,j),(k,l))}^{M(\varrho) \rightarrow \varrho}$ .
3. Using  $c_{((i,j),(k,l))}^{M(\varrho) \rightarrow \varrho}$  and (C.1) determine  $c_{((i,j),(k,l))}^{M(\varrho) \rightarrow \varepsilon}$ . In particular, when  $\varrho[x] = \ell_\varphi[x]$  equation (C.1) reads

$$c_{((i,j),(k,l))}^{M(\ell_\varphi) \rightarrow \varepsilon} = \sum_{p,q,r,s \in (1,2,3)} Q_{\cdot p \cdot i}[\varphi] Q_{\cdot q \cdot j}[\varphi] Q_{\cdot r \cdot k}[\varphi] Q_{\cdot s \cdot l}[\varphi] c_{((p,q),(r,s))}^{M(\ell_\varphi) \rightarrow \ell_\varphi}, \quad (C.5)$$

where, as defined in (2.3),  $Q_{\cdot}[\varphi]$  maps  $\varepsilon[x]$  to  $\ell_\varphi[x]$ . Alternatively, when  $\varrho[x] = \mathbf{x}$  equation (C.1) takes the form<sup>8</sup>

$$c_{((i,j),(k,l))}^{M(\mathbf{x}) \rightarrow \varepsilon} = \sum_{p,q,r,s \in (1,2,3)} P_{\cdot p \cdot i}[X] P_{\cdot q \cdot j}[X] P_{\cdot r \cdot k}[X] P_{\cdot s \cdot l}[X] c_{((p,q),(r,s))}^{M(\mathbf{x}) \rightarrow \mathbf{x}}, \quad (C.6)$$

where

$$P_{\cdot} [X] = \begin{pmatrix} \cos [\check{\theta} [X]] & -\sin [\check{\theta} [X]] & 0 \\ \sin [\check{\theta} [X]] & \cos [\check{\theta} [X]] & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (C.7)$$

<sup>8</sup> For the material models we consider in this work (Section 4.4) the sum on the right hand side of this equation comes out to be independent of  $X$  despite the presence of  $P_{i \cdot j} [X]$  in it. For that reason we write  $c_{((i,j),(k,l))}^{M(\mathbf{x}) \rightarrow \varepsilon}$  on the left hand side instead of  $c_{((i,j),(k,l))}^{M(\mathbf{x}) \rightarrow \varepsilon} [X]$ .

- maps  $e[\mathcal{X}]$  to  $\mathcal{X}$ .
- 4. Using  $c_{((i,j),(k,l))}^{M(\mathcal{G}) \rightarrow e}$  and (3.4) determine  $c^{M(\mathcal{G}) \rightarrow e}$ .
- 5. Invert the  $6 \times 6$  matrix  $c^{M(\mathcal{G}) \rightarrow e}$  to get  $C^{M(\mathcal{G}) \rightarrow e}$ .

**Appendix D. Proofs**

D.1. If there exist three or more  $r_i \in (r_{n-1}, r_n)$  such that  $\tau_{n,1,2} [S_{r_i}] = 0$  then  $K_{n,i}, i = 1, \dots, 4$  and  $\mu_{n,i}, i = 1, 2$ , all vanish

Recall that  $r_{n-1}$  and  $r_n$  are the inner and outer radii of the  $n$ th layer in our spicule model, respectively. From (4.6a) and (4.6c) it follows that

$$\tau_{n,1,2} [S_r] = 0 \Leftrightarrow f_n[r] = 0, \tag{D.1}$$

where, recall that,  $S_r$  is a cylindrical surface of radius  $r$ , and the function  $f_n$  is defined in (4.6d).

In our particularization of the JC model Section 4.1.1 we stated that the layers are able to freely slip with respect to each other, with no friction between them. This implies from (D.1) that

$$f_n [r_{n-1}] = 0, \tag{D.2a}$$

$$f_n [r_n] = 0. \tag{D.2b}$$

That is, the function  $f_n$  vanishes at the boundaries of  $[r_{n-1}, r_n]$ . In this section we show that if additionally  $\tau_{n,1,2}$  vanishes at three or more inner cylindrical surfaces then the constants  $K_{n,i}, i = 1, \dots, 4$ , and  $\mu_{n,i}, i = 1, 2$ , on which  $\tau_{n,1,2}$  and  $\tau_{n,1,3}$  depend, all vanish.

If  $\tau_{n,1,2}$  vanishes at three or more inner cylindrical surfaces with radii  $r_1, r_2$ , and  $r_3$  then, as before, it follows from (D.1) that

$$f_n [r_1] = 0, \tag{D.3a}$$

$$f_n [r_2] = 0, \tag{D.3b}$$

$$f_n [r_3] = 0. \tag{D.3c}$$

It follows from (4.6d), (D.3), and (D.2) that

$$\begin{bmatrix} \text{pow}(e, \alpha_1 x_1) & \text{pow}(e, \alpha_2 x_1) & \text{pow}(e, \alpha_3 x_1) & \text{pow}(e, \alpha_4 x_1) & \text{pow}(e, \alpha_5 x_1) \\ \text{pow}(e, \alpha_1 x_2) & \text{pow}(e, \alpha_2 x_2) & \text{pow}(e, \alpha_3 x_2) & \text{pow}(e, \alpha_4 x_2) & \text{pow}(e, \alpha_5 x_2) \\ \text{pow}(e, \alpha_1 x_3) & \text{pow}(e, \alpha_2 x_3) & \text{pow}(e, \alpha_3 x_3) & \text{pow}(e, \alpha_4 x_3) & \text{pow}(e, \alpha_5 x_3) \\ \text{pow}(e, \alpha_1 x_4) & \text{pow}(e, \alpha_2 x_4) & \text{pow}(e, \alpha_3 x_4) & \text{pow}(e, \alpha_4 x_4) & \text{pow}(e, \alpha_5 x_4) \\ \text{pow}(e, \alpha_1 x_5) & \text{pow}(e, \alpha_2 x_5) & \text{pow}(e, \alpha_3 x_5) & \text{pow}(e, \alpha_4 x_5) & \text{pow}(e, \alpha_5 x_5) \end{bmatrix} \begin{bmatrix} K_{n,1} \\ K_{n,2} \\ K_{n,3} \\ K_{n,4} \\ \mu_{n,1} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \tag{D.4}$$

where

$$x_i = \ln r_i, \quad i = 1, \dots, 3, \tag{D.5a}$$

$$x_4 = \ln r_{n-1}, \tag{D.5b}$$

$$x_5 = \ln r_n, \tag{D.5c}$$

and

$$\alpha_i = m_{n,i} - 1, \quad i = 1, \dots, 4, \tag{D.6a}$$

$$\alpha_5 = 1. \tag{D.6b}$$

It can be shown through application of Rolle’s Theorem that when the  $\alpha_i$  and  $x_i$  in the  $\mathcal{M}_{5 \times 5}(\mathbb{R})$  matrix in (D.4) are distinct then that matrix is non-singular (Pólya and Szego, 2004). The  $x_i, i = 1, \dots, 5$  and  $\alpha_i, i = 1, \dots, 5$  in (D.4) are indeed distinct. We elaborate on these facts in the following two paragraphs.

Recall that in the JC model  $r_n > 0$  for all  $n$ , and, without loss of generality, we can take  $r_{n-1} < r_1 < r_2 < r_3 < r_n$ . Therefore, it follows from (D.5) that the  $x_i, i = 1, \dots, 5$ , in (D.4) are all distinct.

The equations, e.g., (4.6a) and (4.6d), which we used to arrive at (D.4), are part of the JC model. In Section 4.1.2 we stated that for the JC model to be applicable to a layer the elastic constants of that layer’s helically orthotropic material should be such that their corresponding  $m_{n,i}, i = 1, 2$ , satisfy the  $m$ -conditions listed in (4.5). It follows as a consequence of  $m_{n,1}, m_{n,2}$  satisfying the  $m$ -conditions and (D.6) that all the  $\alpha_i, i = 1, \dots, 5$  are distinct.

Since,  $x_i, i = 1, \dots, 5$  and  $\alpha_i, i = 1, \dots, 5$  are distinct the  $\mathcal{M}_{5 \times 5}(\mathbb{R})$  matrix in (D.4) is non-singular and hence,

$$K_{n,i} = 0, \quad i = 1, \dots, 4, \tag{D.7a}$$

and

$$\mu_{n,1} = 0. \tag{D.7b}$$

It follows from (4.6b) and (4.6c) that

$$\tau_{n-1,3} [S_r] = 0 \Leftrightarrow h_n [r] = 0, \tag{D.8}$$

where the function  $h_n$  is defined in (4.6e). It also follows from the condition that the layers are able to freely slip with respect to each other, without any friction, and (D.8) that

$$h_n [r_n] = 0. \tag{D.9}$$

From Eq. (D.7a), equation (D.9), and Eq. (4.6e) we get that  $\mu_{n,2} r_n = 0$ , from which it follows that

$$\mu_{n,2} = 0. \tag{D.10}$$

In summary, we have shown that when there exists three or more interior cylindrical surfaces in the  $n$ th layer where  $\tau_{n-1,2}$  vanishes then  $K_{n,i}$ ,  $i = 1, \dots, 4$  and  $\mu_{n,i}$ ,  $i = 1, 2$ , all vanish.

D.2. The matrix  $B_{..} [C_n^e]$  is singular iff  $m_{n,1} = 2 \vee m_{n,2} = 2$

It follows from (B.3) that  $m_{n,i}$ ,  $i = 1, \dots, 4$ , are roots of the equation

$$d [C_n^e] \text{ pow}(m, 4) + h [C_n^e] \text{ pow}(m, 2) + l [C_n^e] = 0, \tag{D.11}$$

where  $d[\cdot]$ ,  $h[\cdot]$ , and  $l[\cdot]$  are defined in (B.4).

Solving for  $\beta [s]_{.2,2}$ ,  $\beta [s]_{.1,4}$ , and  $\beta [s]_{.1,1}$ , from (B.4a) (B.4b), and (B.4c), respectively, we get that

$$\beta [s]_{.2,2} = \frac{d [s] + \text{pow}(\beta [s]_{.2,4}, 2)}{\beta [s]_{.4,4}}, \tag{D.12a}$$

$$\beta [s]_{.1,4} = -\beta [s]_{.2,4} \pm \text{pow}(h [s] + \beta [s]_{.1,1} \beta [s]_{.4,4} + 2\beta [s]_{.1,2} \beta [s]_{.4,4} + \beta [s]_{.2,2} \beta [s]_{.5,5} - 2\beta [s]_{.2,4} \beta [s]_{.5,6} + \beta [s]_{.4,4} \beta [s]_{.6,6}, 1/2) \tag{D.12b}$$

$$\beta [s]_{.1,1} = \frac{l [s] + \text{pow}(\beta [s]_{.5,6}, 2)}{\beta [s]_{.5,5}} - (2\beta [s]_{.1,2} + \beta [s]_{.2,2} + \beta [s]_{.6,6}). \tag{D.12c}$$

From (B.8) we have the determinant of  $B_{..} [s]$ ,

$$\det(B_{..} [s]) = -((2\beta [s]_{.1,4} + 6\beta [s]_{.2,4} - \beta [s]_{.5,6})(2\beta [s]_{.1,4} - 2\beta [s]_{.2,4} + \beta [s]_{.5,6}) + (4\beta [s]_{.4,4} - \beta [s]_{.5,5})(\beta [s]_{.1,1} + 2\beta [s]_{.1,2} - 3\beta [s]_{.2,2} + \beta [s]_{.6,6})). \tag{D.13}$$

Replacing  $\beta [s]_{.2,2}$ ,  $\beta [s]_{.1,4}$ , and  $\beta [s]_{.1,1}$  in (D.13) with, respectively, the right hand sides of (D.12a), (D.12b), and (D.12c), and simplifying we get that

$$\det(B_{..} [s]) = -(16d [s] + 4h [s] + l [s]). \tag{D.14}$$

If  $B_{..} [C_n^e]$  is singular, i.e., its determinant is naught, then it follows from (D.14) that

$$16d [C_n^e] + 4h [C_n^e] + l [C_n^e] \tag{D.15}$$

is naught as well, which implies that the real number 2 is a root of (D.11). Without loss of generality, we take  $m_{n,3}$ ,  $m_{n,4}$  to be non-positive. Thus, we have that when  $B_{..} [C_n^e]$  is singular then  $m_{n,1} = 2 \vee m_{n,2} = 2$ .

Say  $m_{n,1} = 2 \vee m_{n,2} = 2$  then it follows from (D.11) that the expression (D.15) again vanishes, which in conjunction with (D.14) implies that  $\det(B_{..} [C_n^e]) = 0$ , i.e., that  $B_{..} [C_n^e]$  is singular.

In summary, we have that  $m_{n,1} = 2 \vee m_{n,2} = 2$  iff  $B_{..} [C_n^e]$  is singular.

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